



# EarthCare and Aeolus Aerosol and Cloud Retrievals (or. ATLID Algorithms applied to ALADIN)

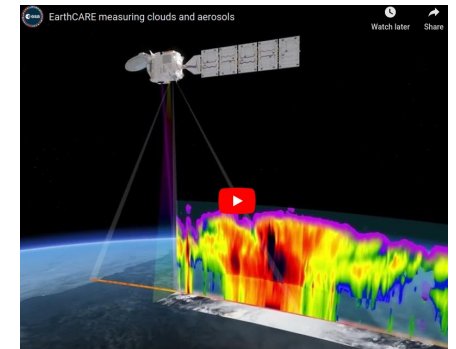


D.P. Donovan, G-J van Zadelhoff, P. Wang, Labzovskii  
(KNMI, De Bilt, Netherlands)

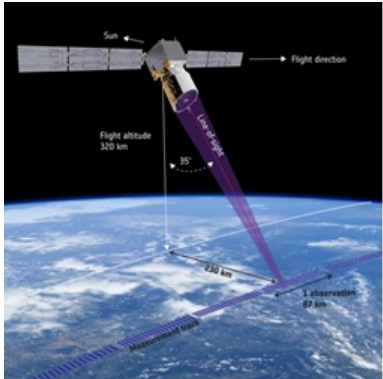
D. Huber, K. Reissig (DoRIT, Germany)

and numerous other members of the Aeolus and EarthCARE teams.

- Outline
  - Aeolus and EarthCARE
  - AEL-FM(A-FM) and AEL-PRO(A-PRO)
  - Some recent Examples
  - Outlook



# ALADIN and ATLID are both HSRL 355nm lidars but....



ALADIN

- Optimized for winds
- Large power-aperture product
- But..
- Low resolution
  - Low optical efficiency
  - Unfavorable set of cross-talk coefficients (which acts to lower effective SNR of retrieved pure Mie and Ray attenuated backscatters)



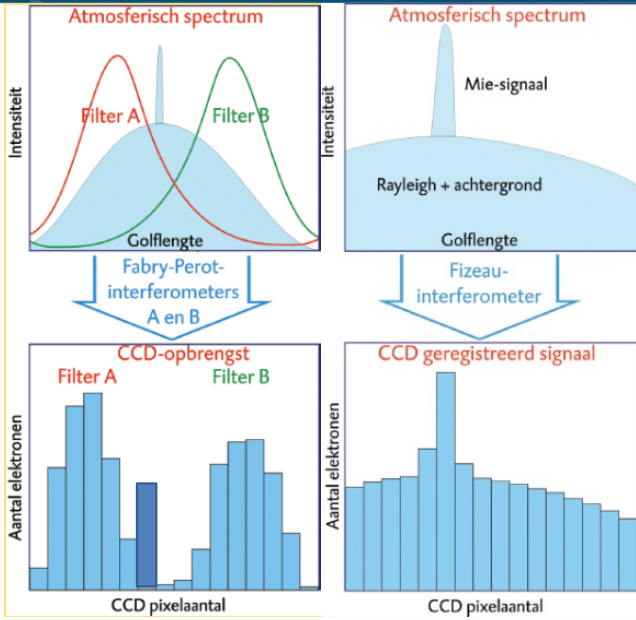
ATLID

- Optimized for aerosols and clouds
- 100m(Vert. res.)  
385 m (Hor. res.)
- High optical efficiency
  - Better cross-talk characteristics
  - Launch in 2024.

**ATLID is much better suited for aerosol/cloud work...but Aeolus HAS FLOWN !**



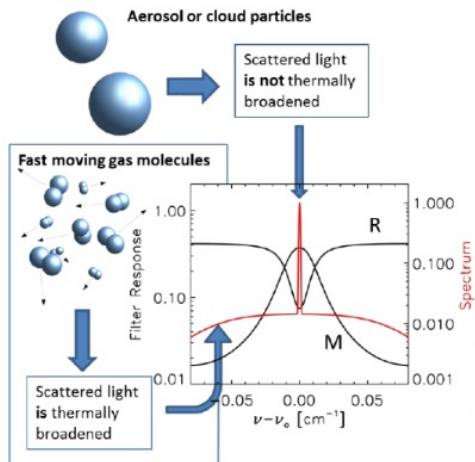
# ALADIN and ATLID are HSRL Lidars but....



ALADIN has two spectrometers and 3 channels

**A Dual FP (RSP)**  
Total signal in both channels available.

**A Fizeau (MSP)**  
Full spectrum available.



ATLID has one spectrometer and 3 channels

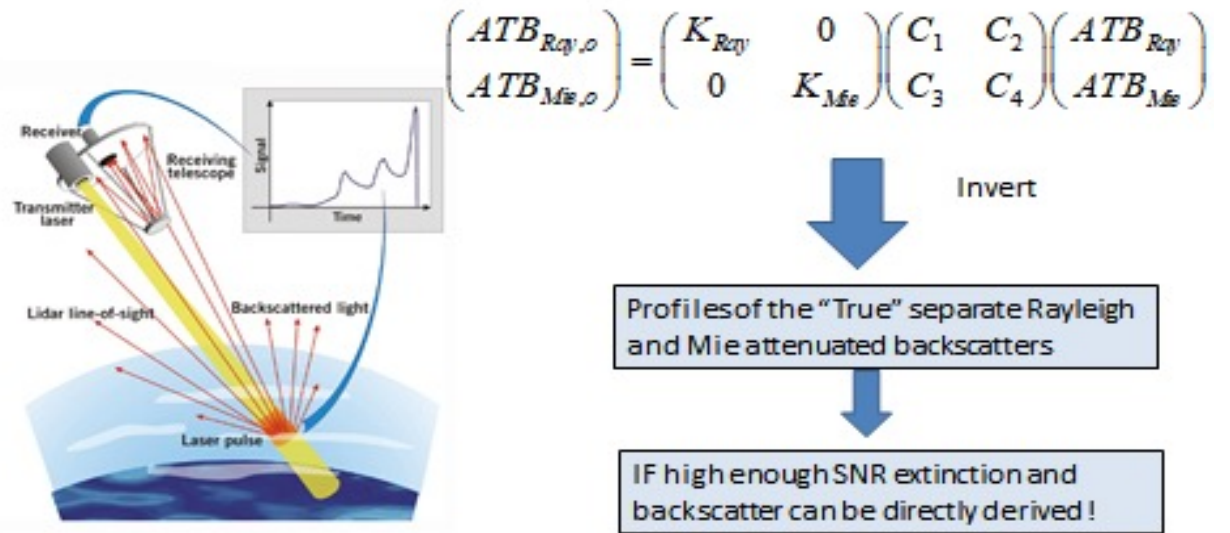
**A single FP**  
separating the Mie and Ray co-polar returns and a total cross-polar channel.

Power

$$P(z = \frac{ct}{2}) = \frac{C}{z^2} (\beta_{Ray}(z) + \beta_{Mie}) \exp \left[ -2 \int_0^z (\alpha_{Ray}(z') + \alpha_{Mie}(z')) dz' \right]$$

Attenuated backscatter

$$ATB = \frac{1}{C} z^2 P(z) = P(z = \frac{ct}{2}) = (\beta_{Ray}(z) + \beta_{Mie}) T^2(0, z)$$



## Main issue : Space-borne lidar signals are noisy !.

The standard Aeolus L2a aerosol product (the SCA) is (fundamentally) a variation of the usual direct (log derivative) approach for deriving extinction. **As such, it requires high SNR signals !**

Along-track averaging to increase the SNR is one solution. However, one can not mix “strong” (e.g. cloud) and “weak”(e.g. aerosols) returns and hope to obtain anything quantitatively useful ! The Aeolus standard approach does not screen before averaging.

## A strategy (based on ideas taken from ATLID developments) is to:

- 1) Separate the `strong' and `weak' features at as high a horizontal resolution as possible.
- 2) Separately process and merge the strong and weak fields at different resolutions.

## This requires:

- 1) A high resolution mask
- 2) A quantitative retrieval approach than can be applied at difference scales which is robust to noise.



# AEL-FM/AEL-PRO and A-FM/A-PRO

- **AEL-FM** and **AEL-PRO** are Aeolus algorithms based on EarthCARE developments (**A-FM** and **A-PRO**)
- **AEL-FM** provides a feature-mask at the **highest available resolution**.
- **AEL-PRO** is a **Multi-scale** Optimal-Estimation procedure for retrieving cloud/aerosol extinction and lidar-ratio(S).
- The multi-scale approach is necessary in order to handle the SNR constraints and the physical size scale difference between clouds and aerosols.

A-PRO and A-FM have been developed and tested using extensive realistic simulations (example Below and Right)...but they are only simulations. Aeolus has provided the opportunity to apply these algorithms to real data and benefit both missions !

## Example (Halifax Scene)

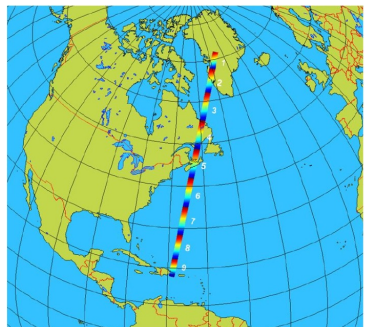
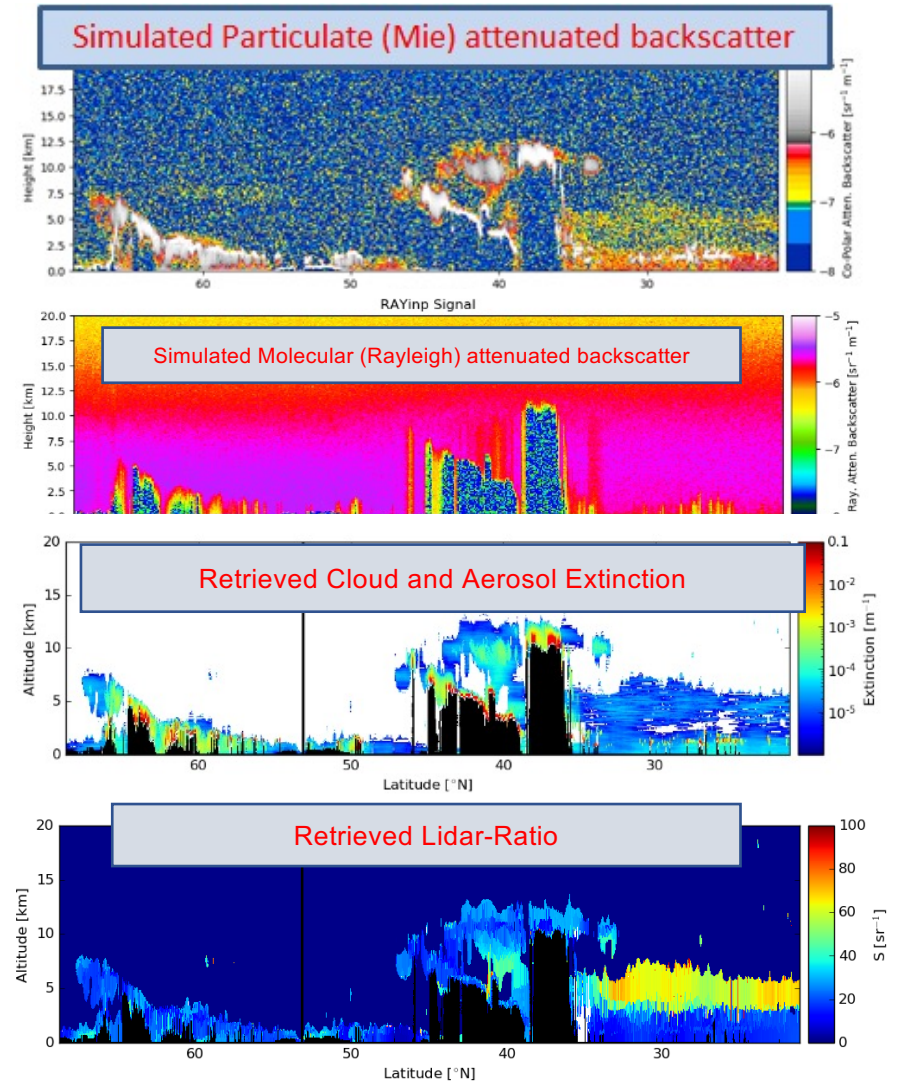
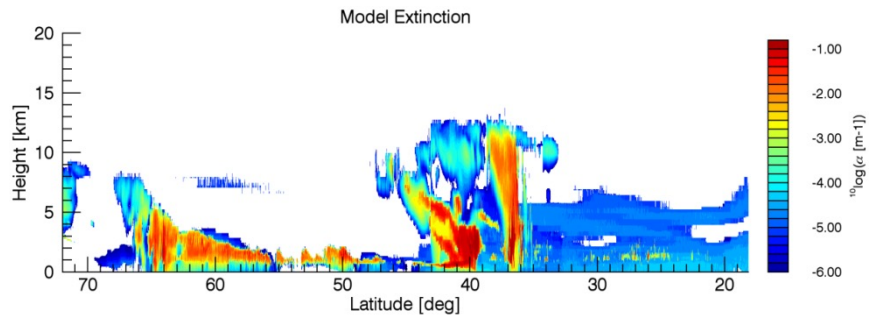
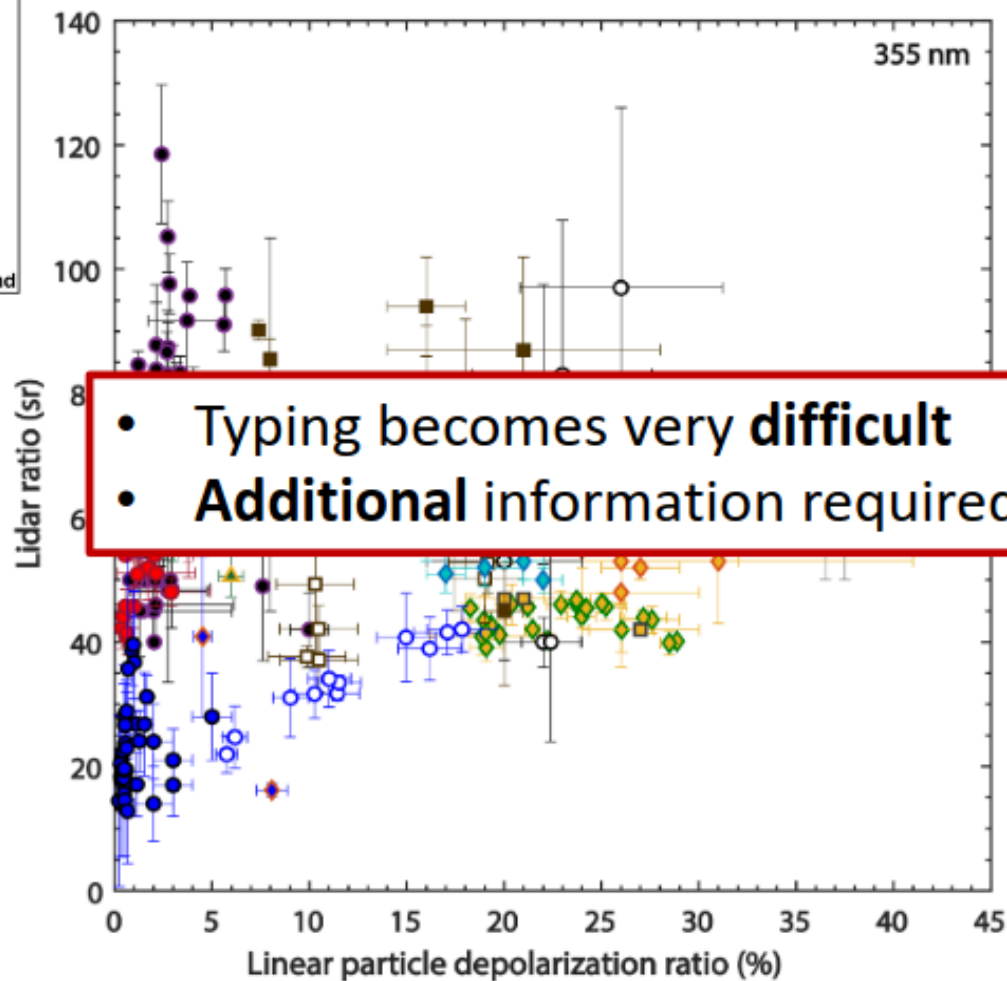


Figure 2: the swath of the high resolution simulation with 0.25 km grid-spacing and the seven section of the separated simulation.



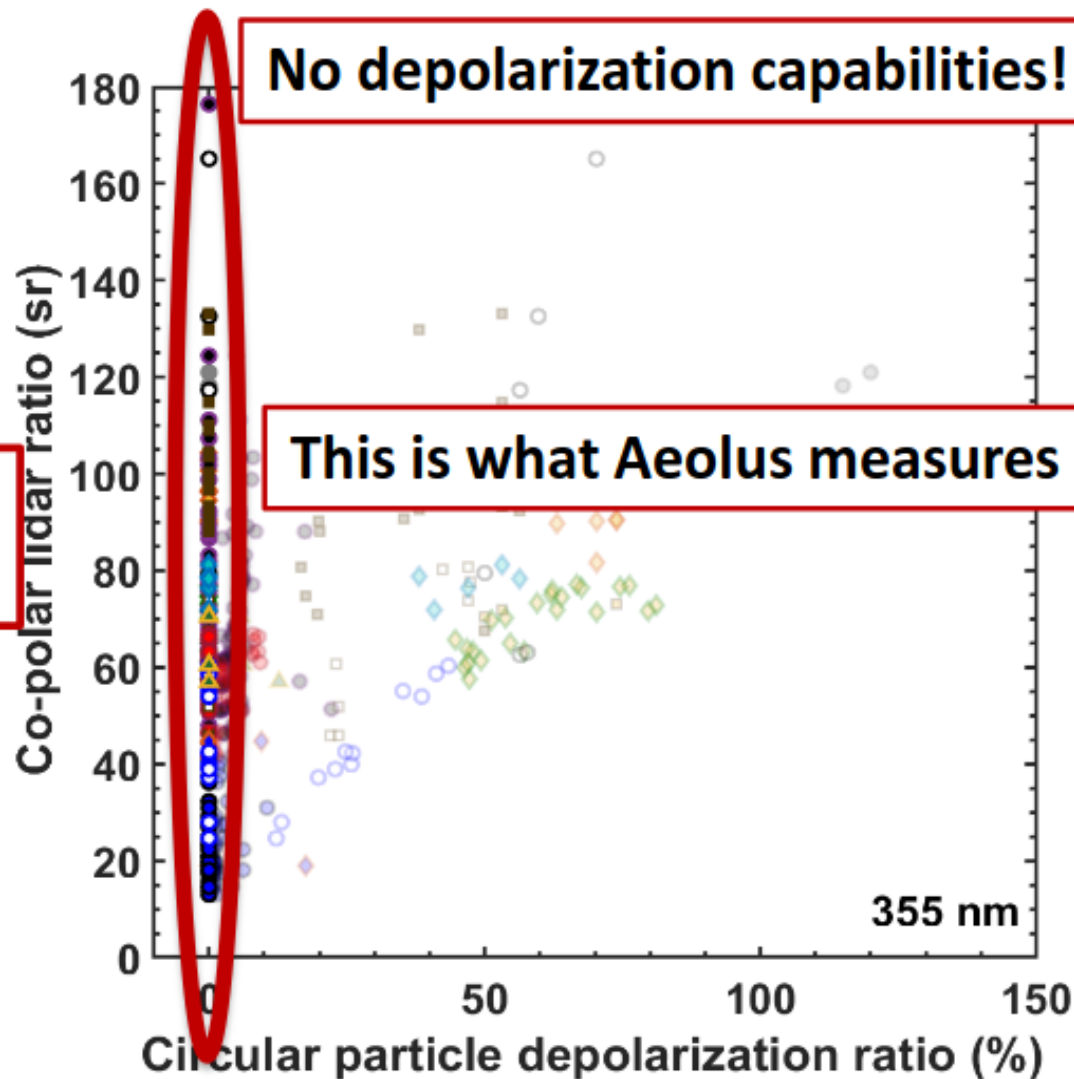
# Aeolus & aerosol typing



EarthCARE-like



Aeolus



# Testing ATLID(-like) approaches using ALADIN observations

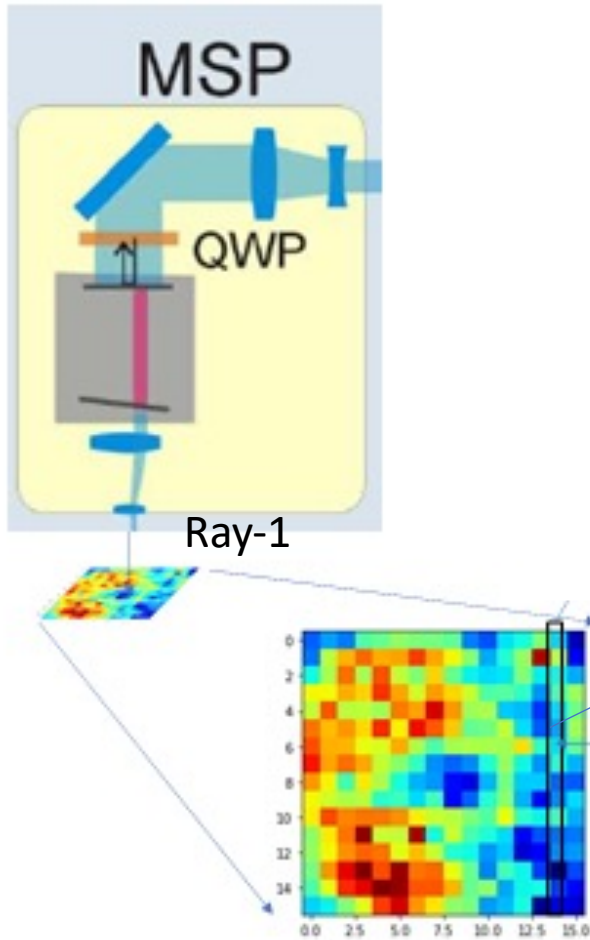


- AEL-FM and AEL-PRO have been adapted to ALADIN measurements !
  - Being implemented in the operational processor.
  - AEL-FM resembles A-FM more completely than AEL-PRO resembles A-PRO
    - E.g. Aeolus lacks depol. Measurements → Aerosol type determination is problematic and and simplified ice/water discrimination compared to ATLID

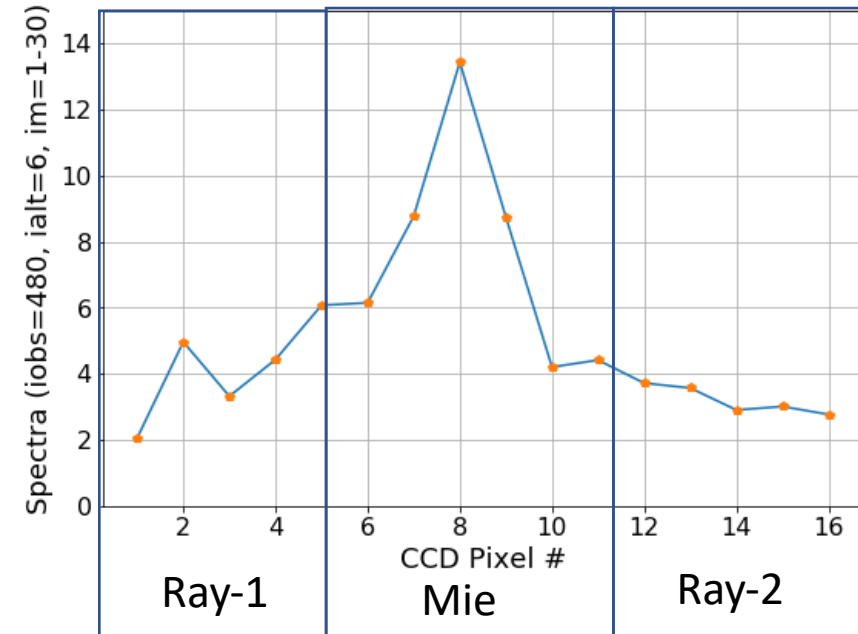
- Validation is ongoing using:
  - Terrestrial Lidar data
  - Comparisons with CALIPSO.
  - Comparisons with OMI AOT.



First step: Create accurate pure “Rayleigh” and Pure “Mie” Calibrated attenuated backscatter profiles using MSP spectra only



Sample CCD spectrum

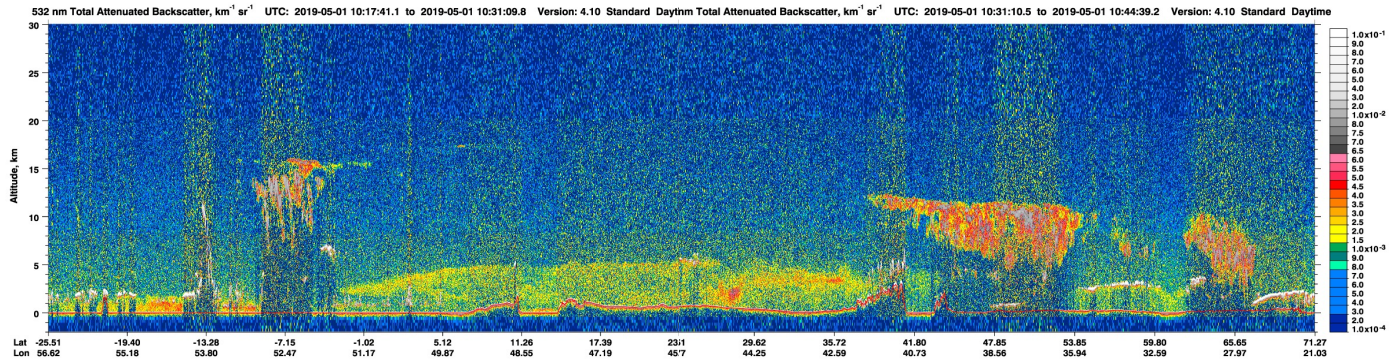


Using the MSP signals only gives better Mie SNR than using RSP+MSP !  
(Ray ATB SNR is somewhat degraded though, but still more accurate)!

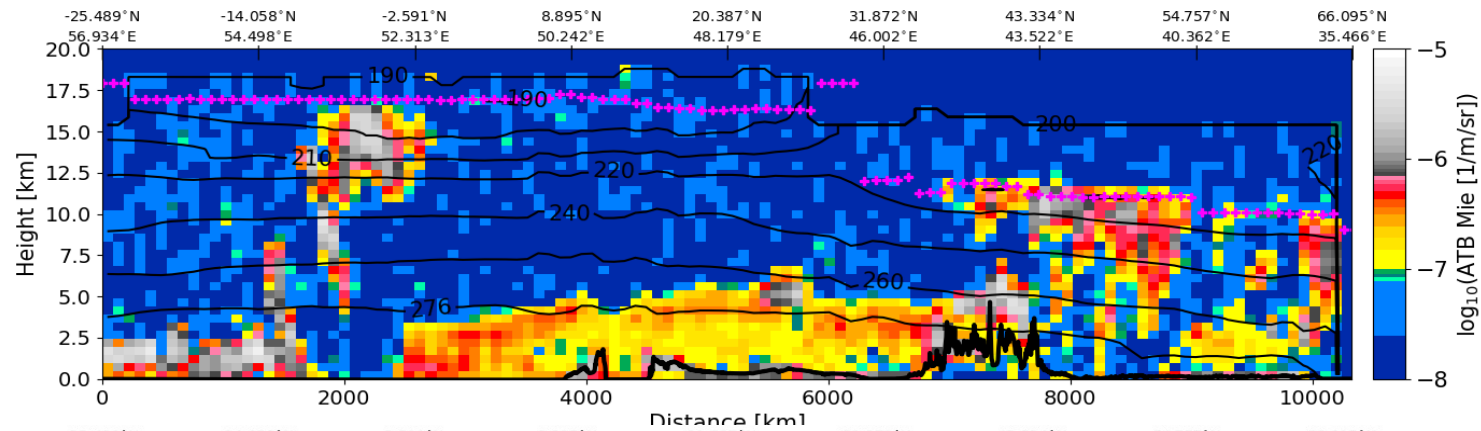
Reason is due to more favorable cross-talk characteristics !



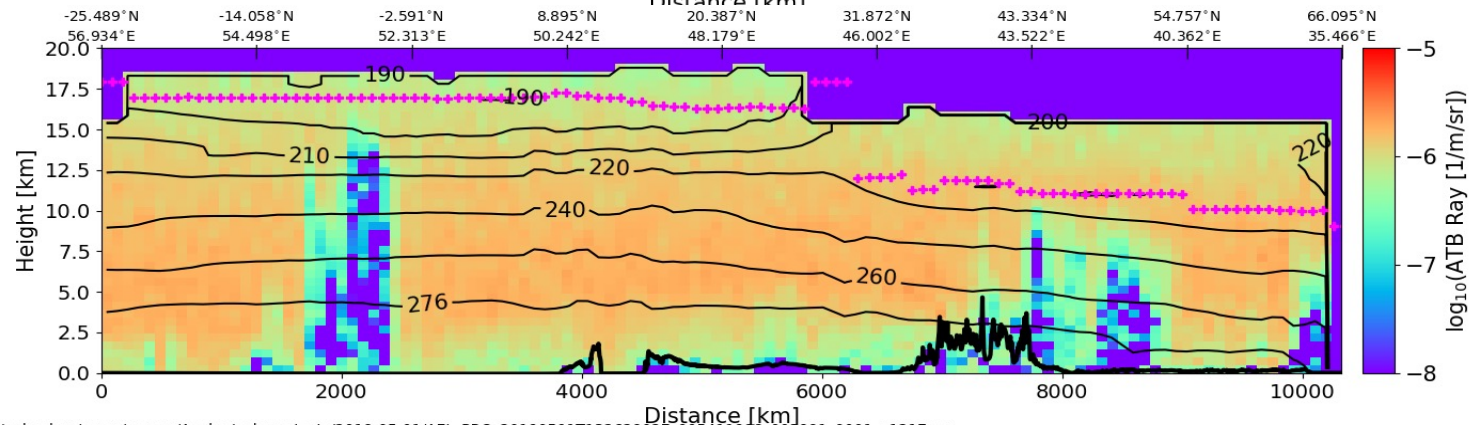
# AEL-PRO PP orbit 3991 on 2019-05-01 vs Calipso total ATB at 532 nm



Calipso ATB at 532 nm

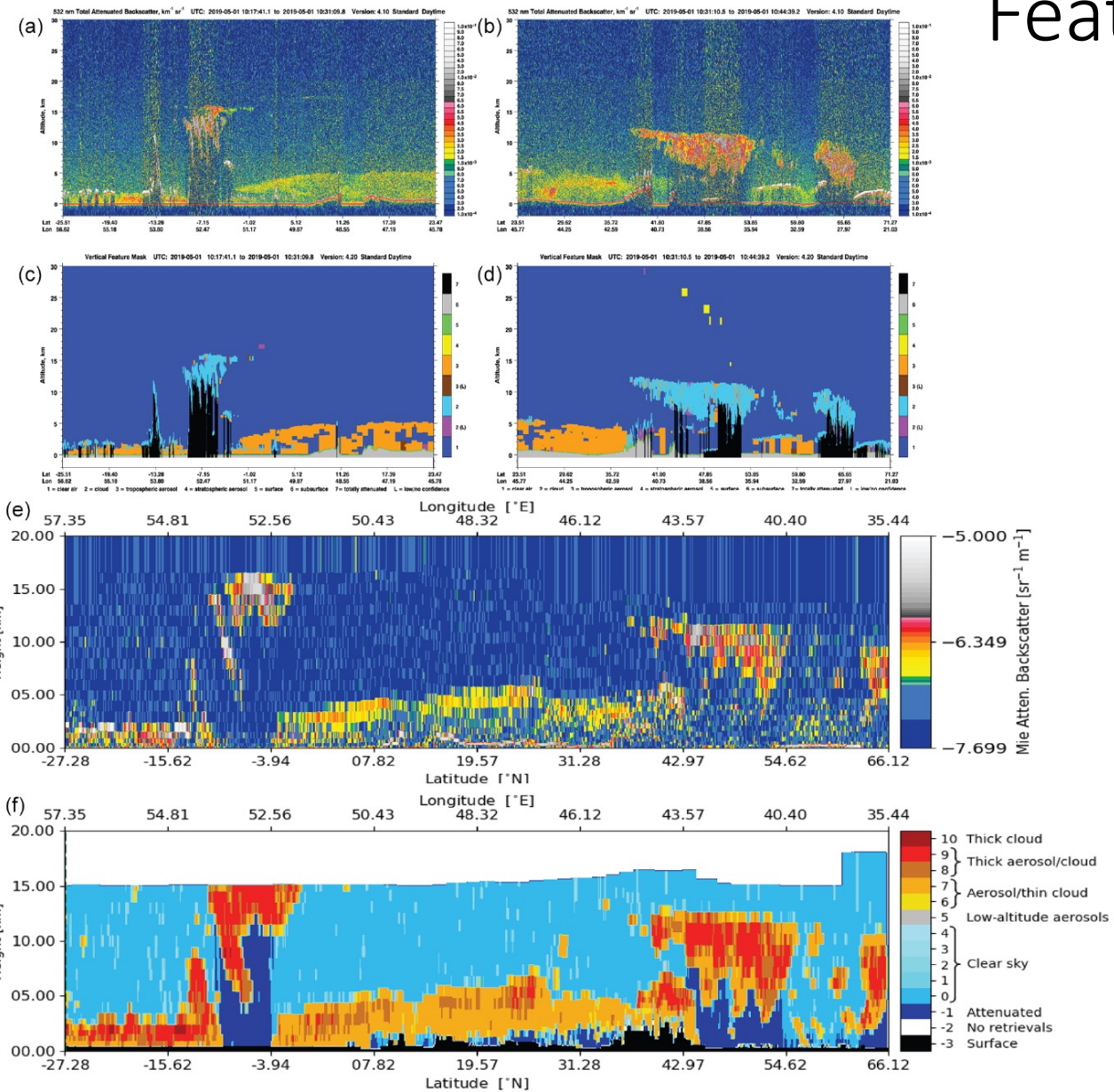


Aeolus ATB Mie at 355 nm



Aeolus ATB Ray at 355 nm

# Featuremask (AEL-FM)



- Provides a mask on the highest resolution available.
- Uses image processing ideas to identify features in the signals.
  - Edge preserving filter (Hybrid median) to detect strong features.
  - Iterative smoothing together with signal probability histogram analysis in order to detect weak signal areas.
- Dynamic determination of noise thresholds.
  - Achieved by examining the signal+noise probability histograms

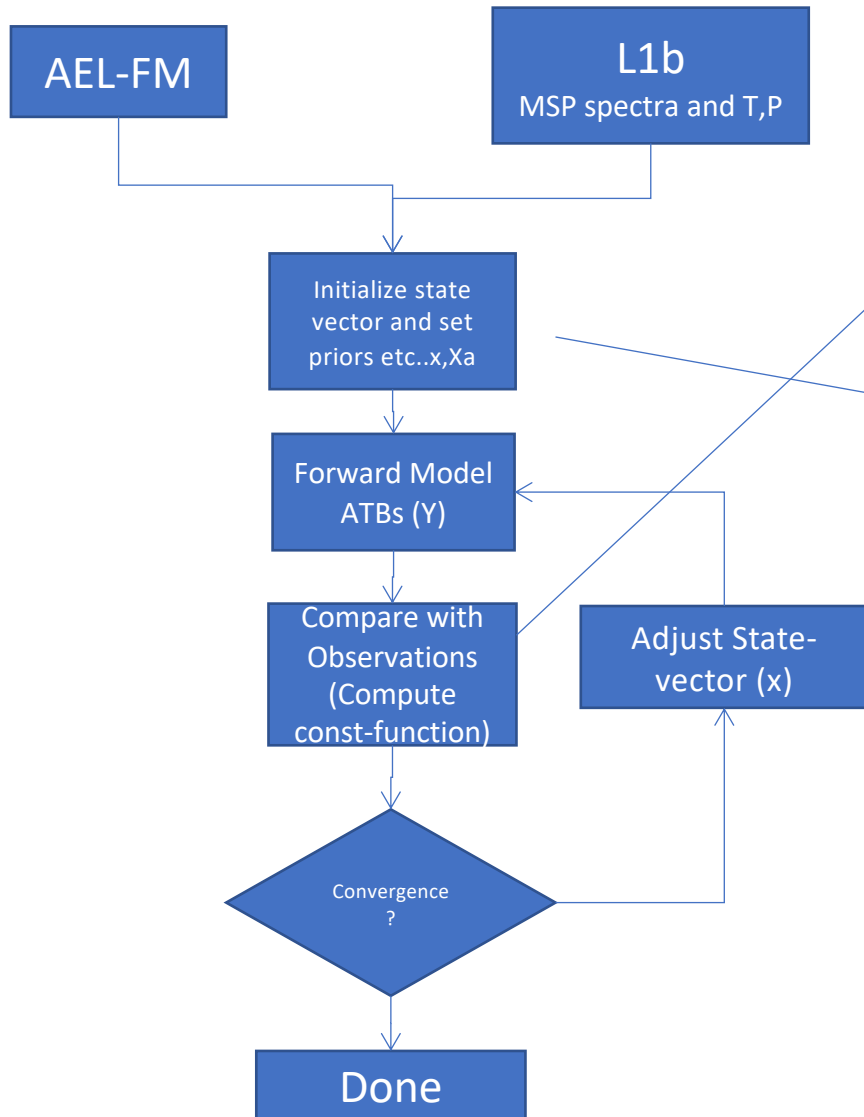
## Detection of aerosol and cloud features for the EarthCARE atmospheric lidar (ATLID): the ATLID FeatureMask (A-FM) product

Gerd-Jan van Zadelhoff, David P. Donovan, and Ping Wang  
 R&D Satellite Observations, Royal Netherlands Meteorological Institute (KNMI), de Bilt, the Netherlands

Atmos. Meas. Tech., 16, 3631–3651, 2023  
<https://doi.org/10.5194/amt-16-3631-2023>  
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# AEL-PRO (simplified structure)



$$\chi^2 = [(Y_i - y_i)][C_{i,j}]^{-1} [(Y_i - y_i)]^t + [(X_{i_a} - x_{i_a})][C_{a,i_a,j_a}]^{-1} [(X_{i_a} - x_{i_a})]^t$$

$x = \log \text{state variables} = \log(\alpha_1 \dots \alpha_{nz}, S_1 \dots S_{nz}, Ra_1 \dots Ra_{nz}, C_{lid})$   
 $y = \text{observations} = (ATB_{Ray_1} \dots ATB_{Ray_{nz}}, ATB_{Mie_1} \dots ATB_{Mie_{nz}})$

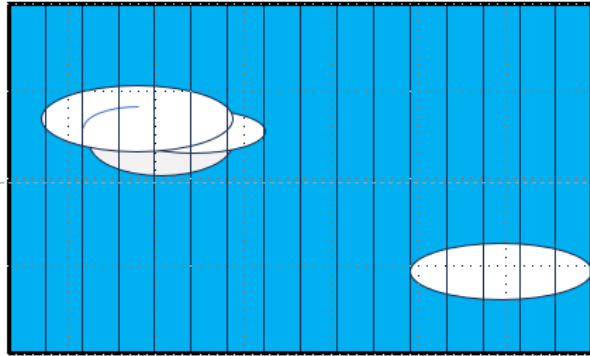
$Y = Y(x) = \text{forward modelled observations}$

$X_a = \text{apriori values} = \log(S_{a,1} \dots S_{a,nz}, Ra_{a,1} \dots Ra_{a,nz}, C_{lid,a})$

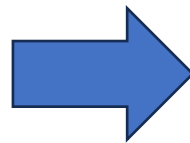
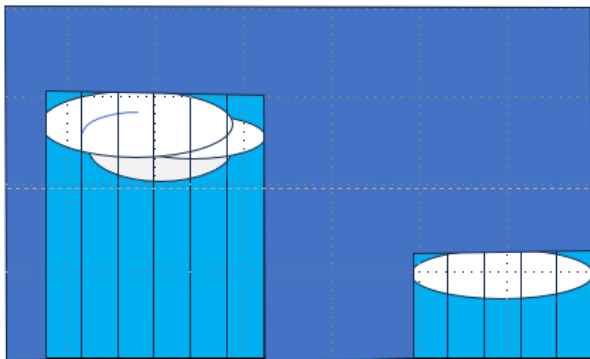
Two passes at different resolutions are performed:

- Low-res pass (aerosols + thin clouds)
- High-Res pass (clouds). Uses pass-1 results as input.

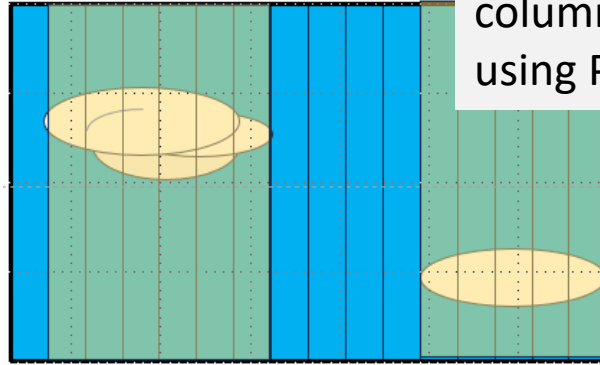
# Pass-1 and Pass-2



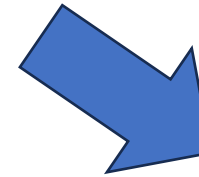
Separate "weak" and "strong" features



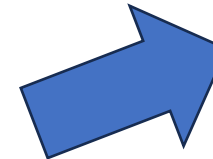
Average over "Weak-feature" areas and retrieve "background" aerosol/thin-cloud fields



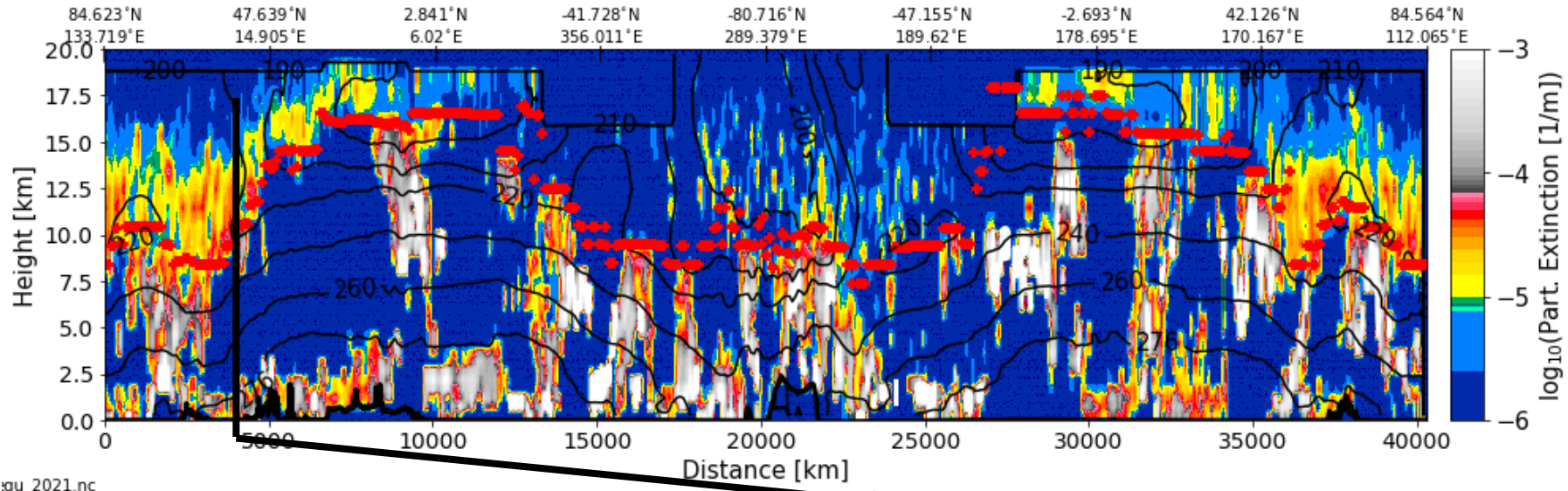
Perform column-by-column retrievals using Pass-I results



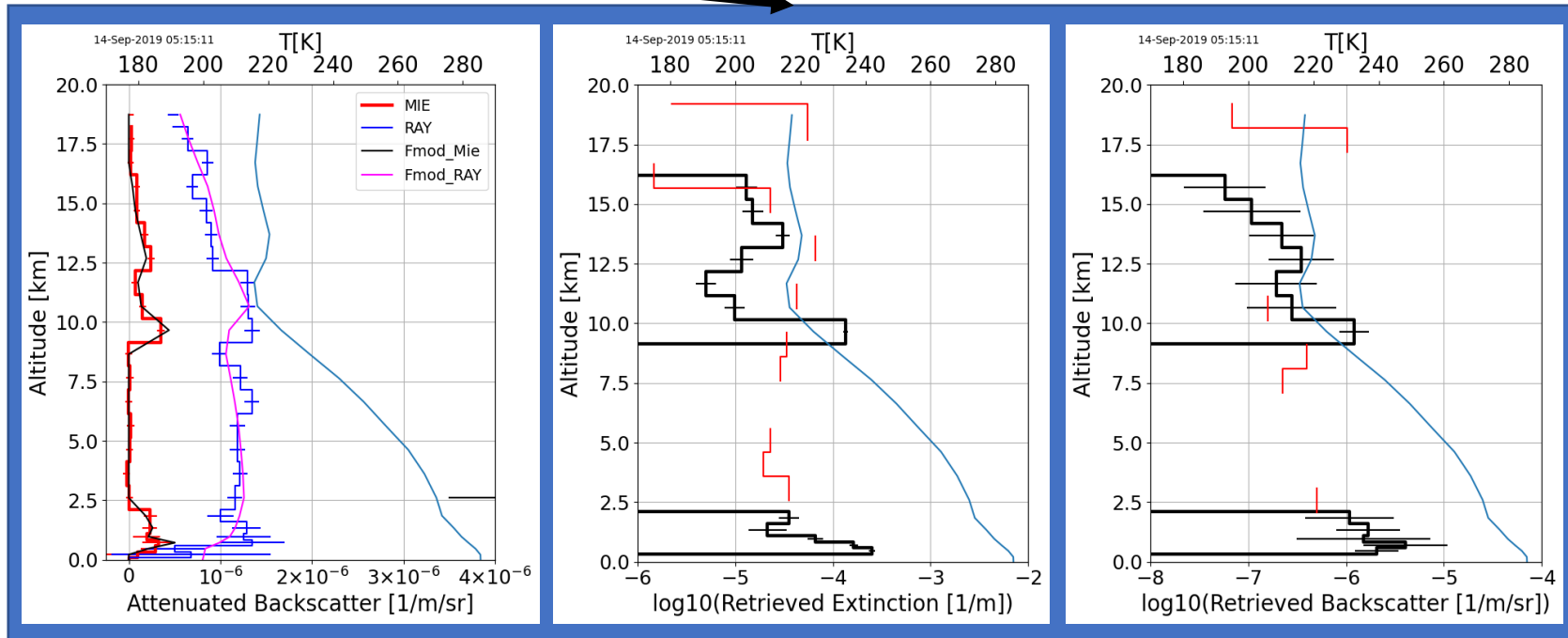
Merge Pass-1 and Pass-II results



# AEL-PRO Example

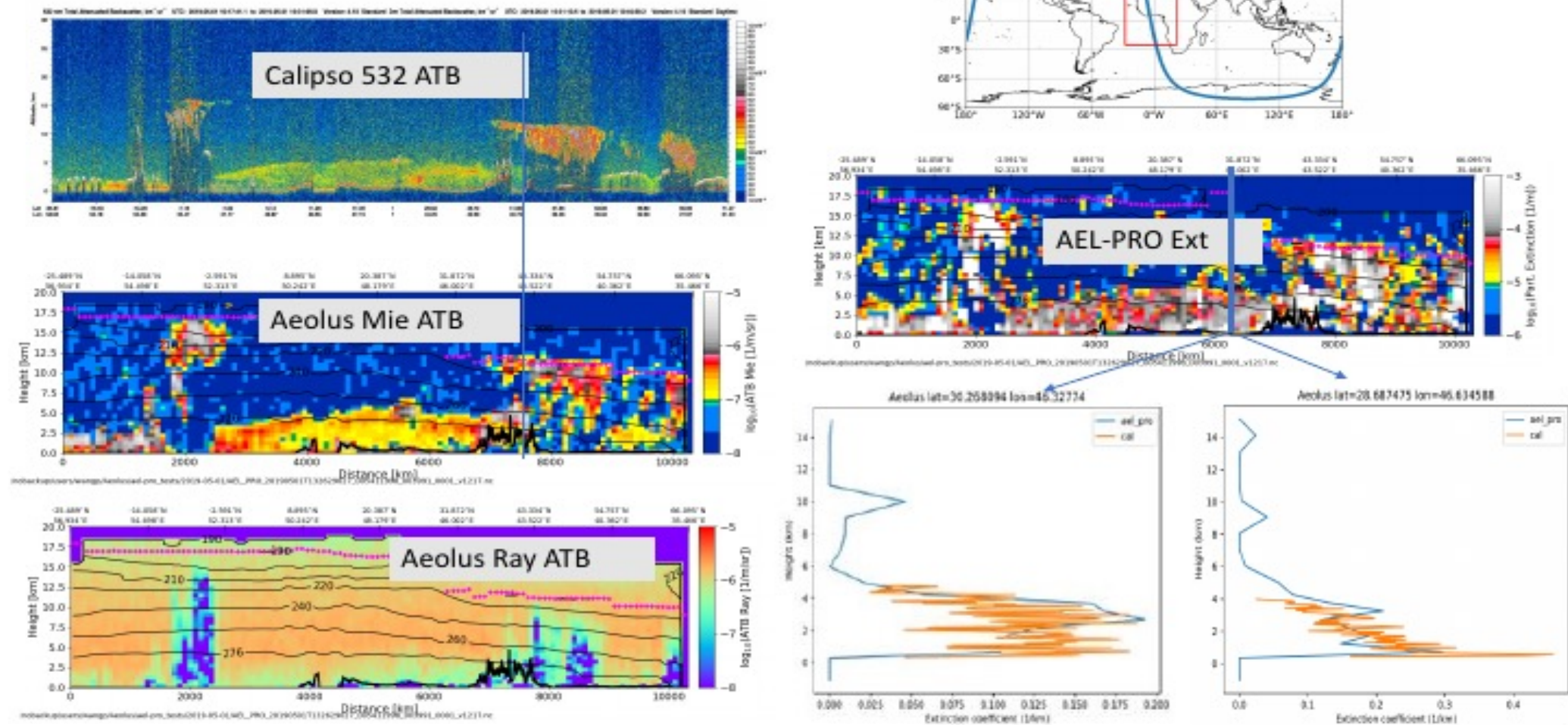


igu 2021.nc



# Example Comparison with CALIPSO

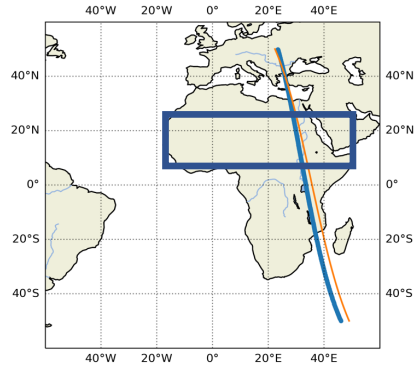
AEL-PRO PP orbit 3991 on 2019-05-01



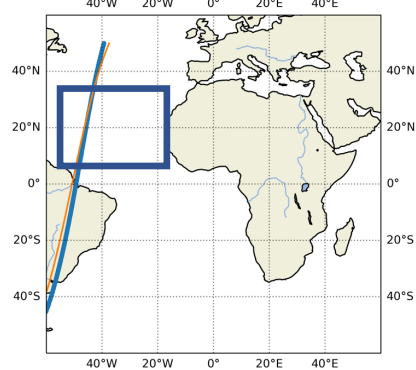
**Fig. 4.** CALIPSO and ALADIN ATBs and extinction retrieval within an orbit section within a few 10s of km and 3.5 hrs on May 01, 2019.

# Calipso and Aeolus aerosol extinction coefficients 2018-10

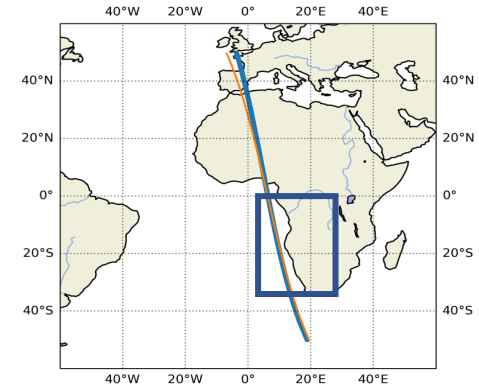
## Dust



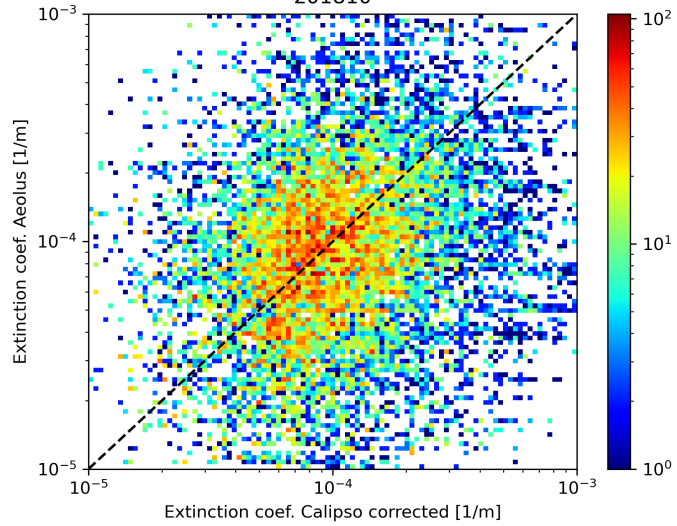
## Dust over ocean



## Smoke

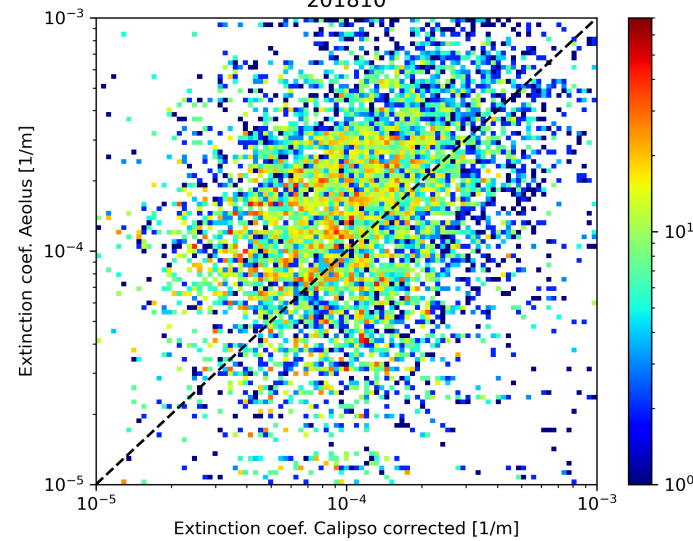


201810



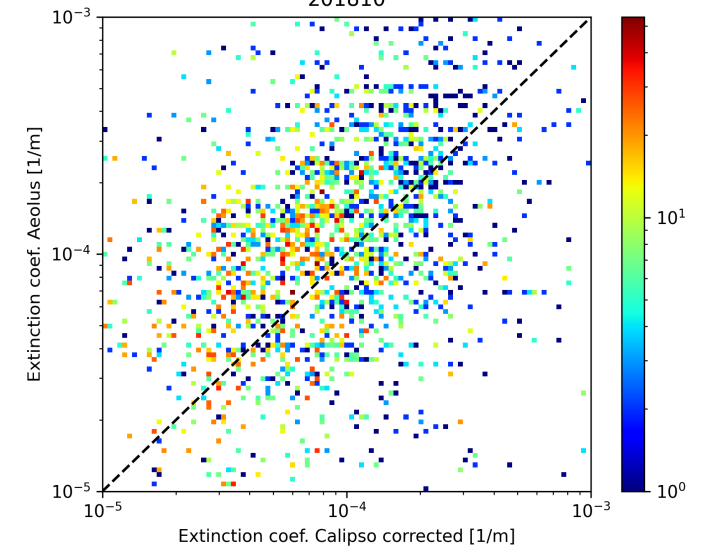
Region 1

201810



Region 2

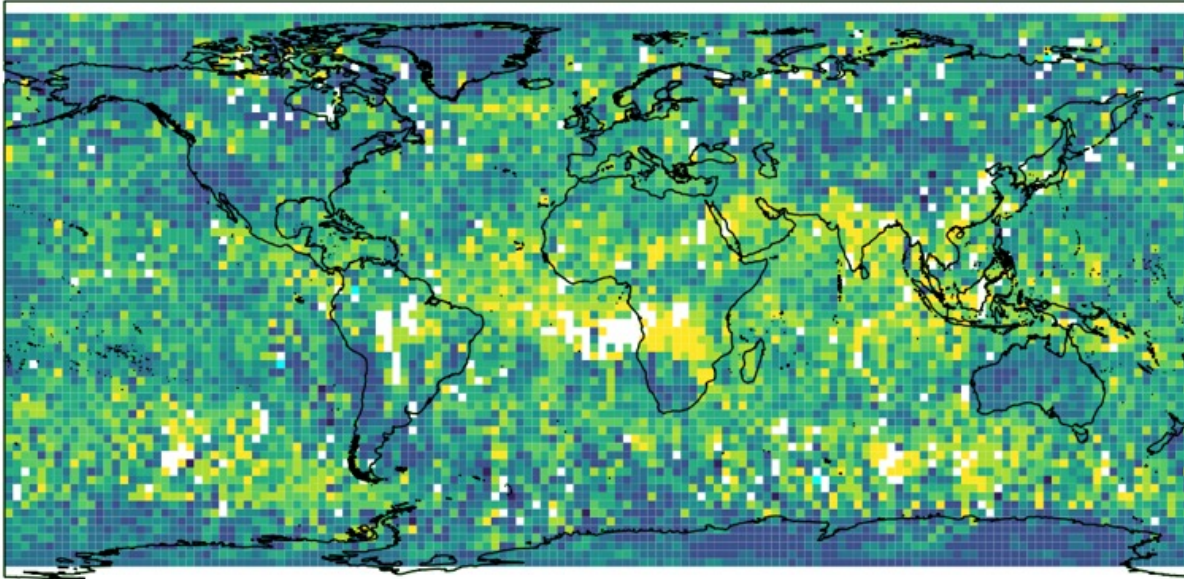
201810



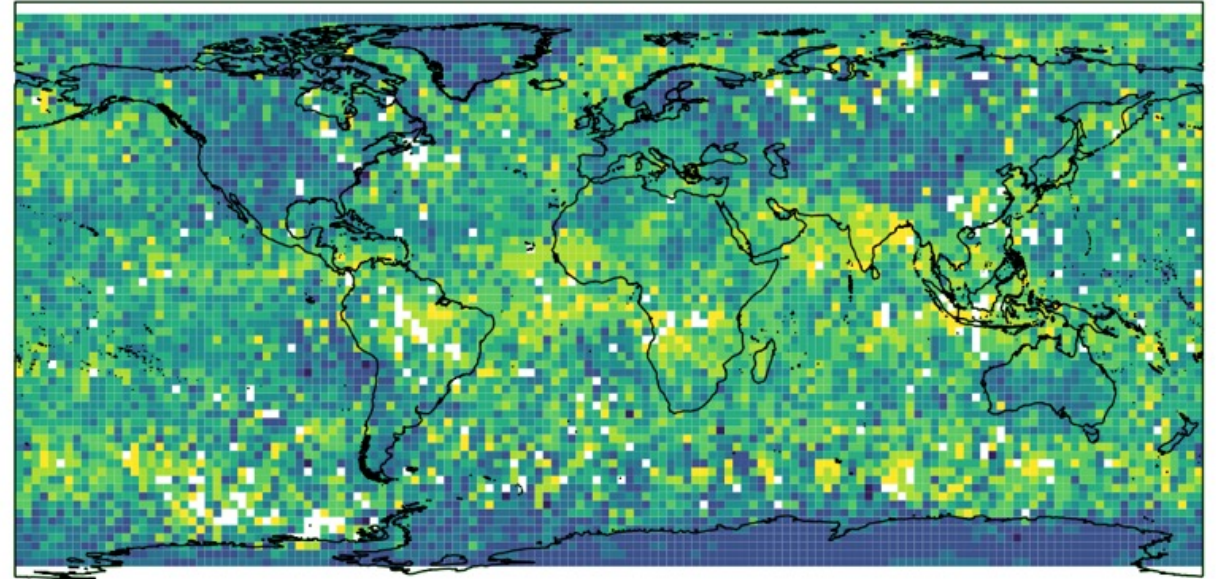
Region 3

# MONTHLY MEAN ESTIMATES

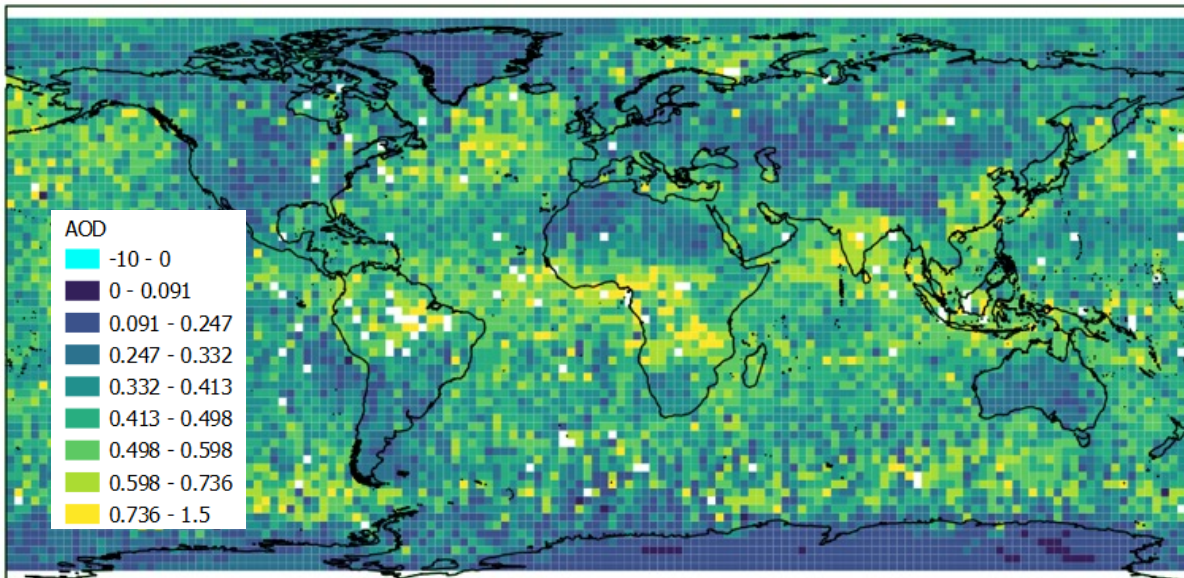
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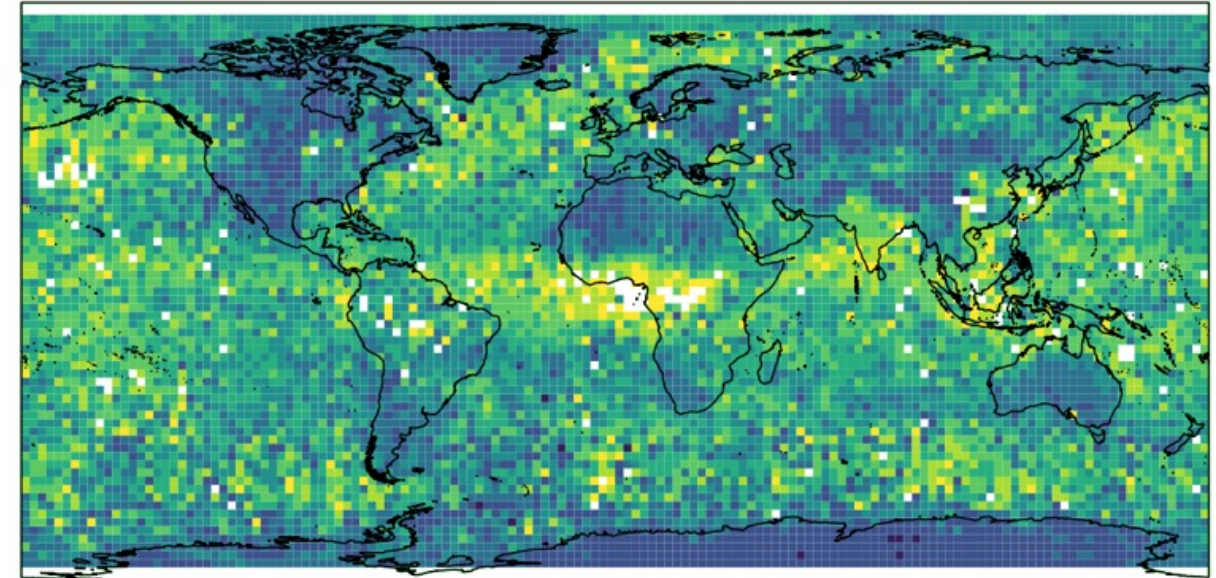
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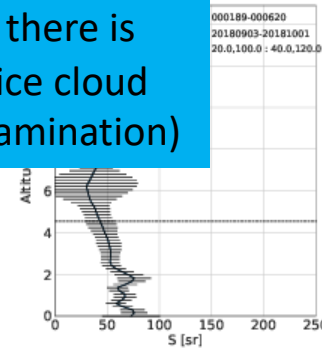
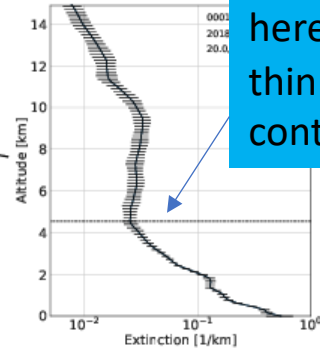
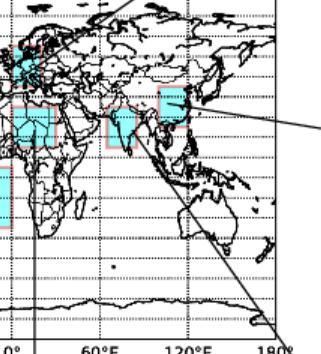
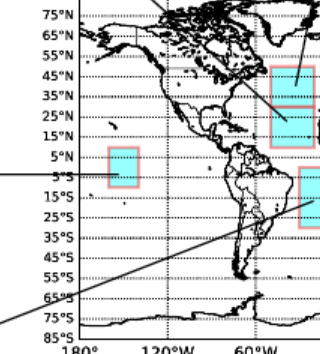
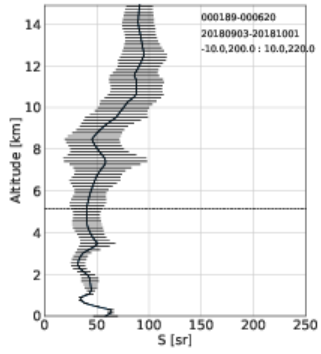
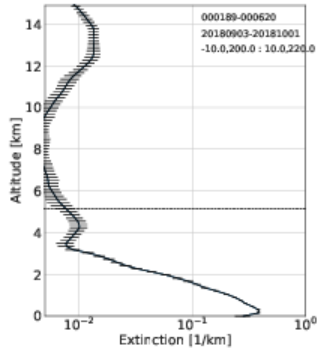
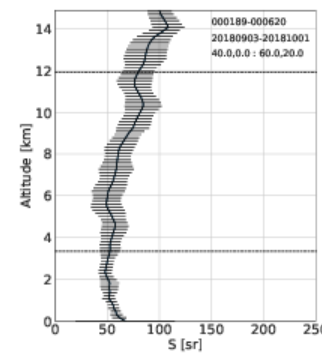
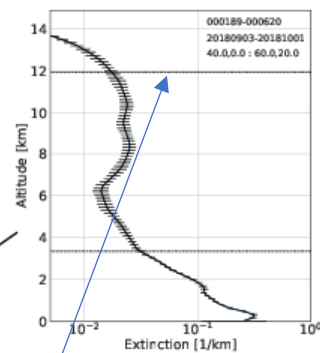
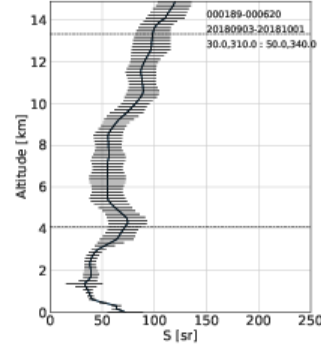
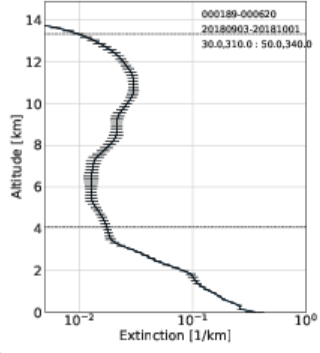
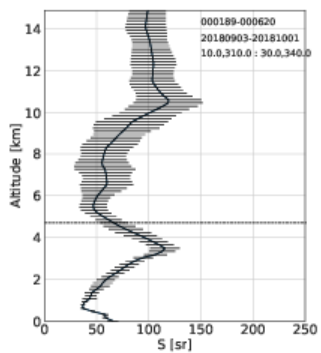
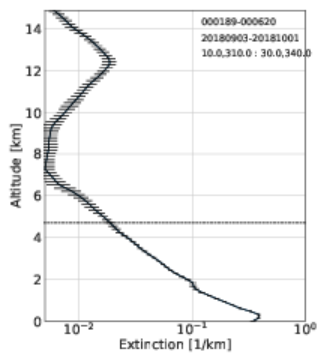
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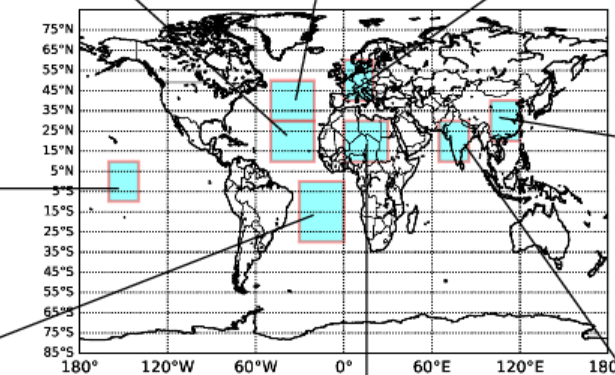
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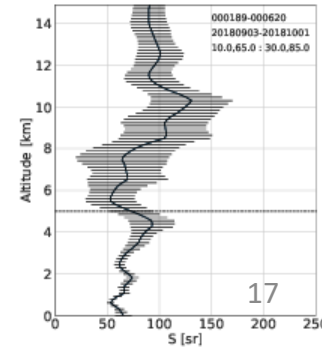
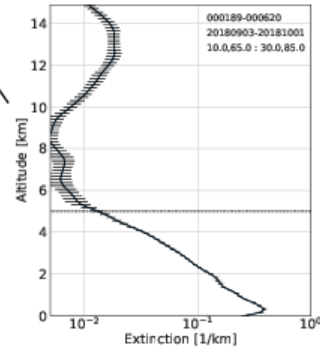
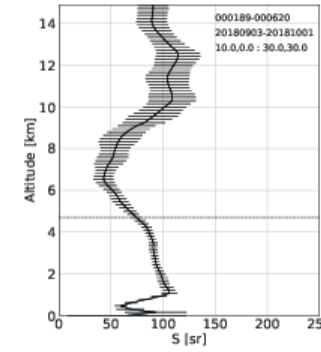
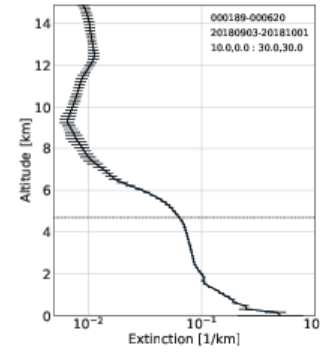
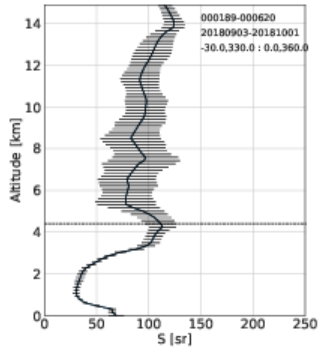
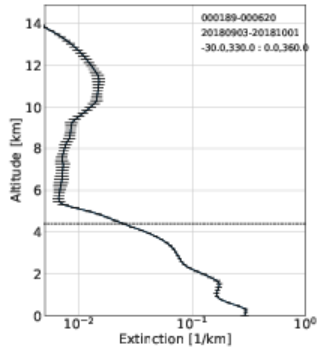
2018-09

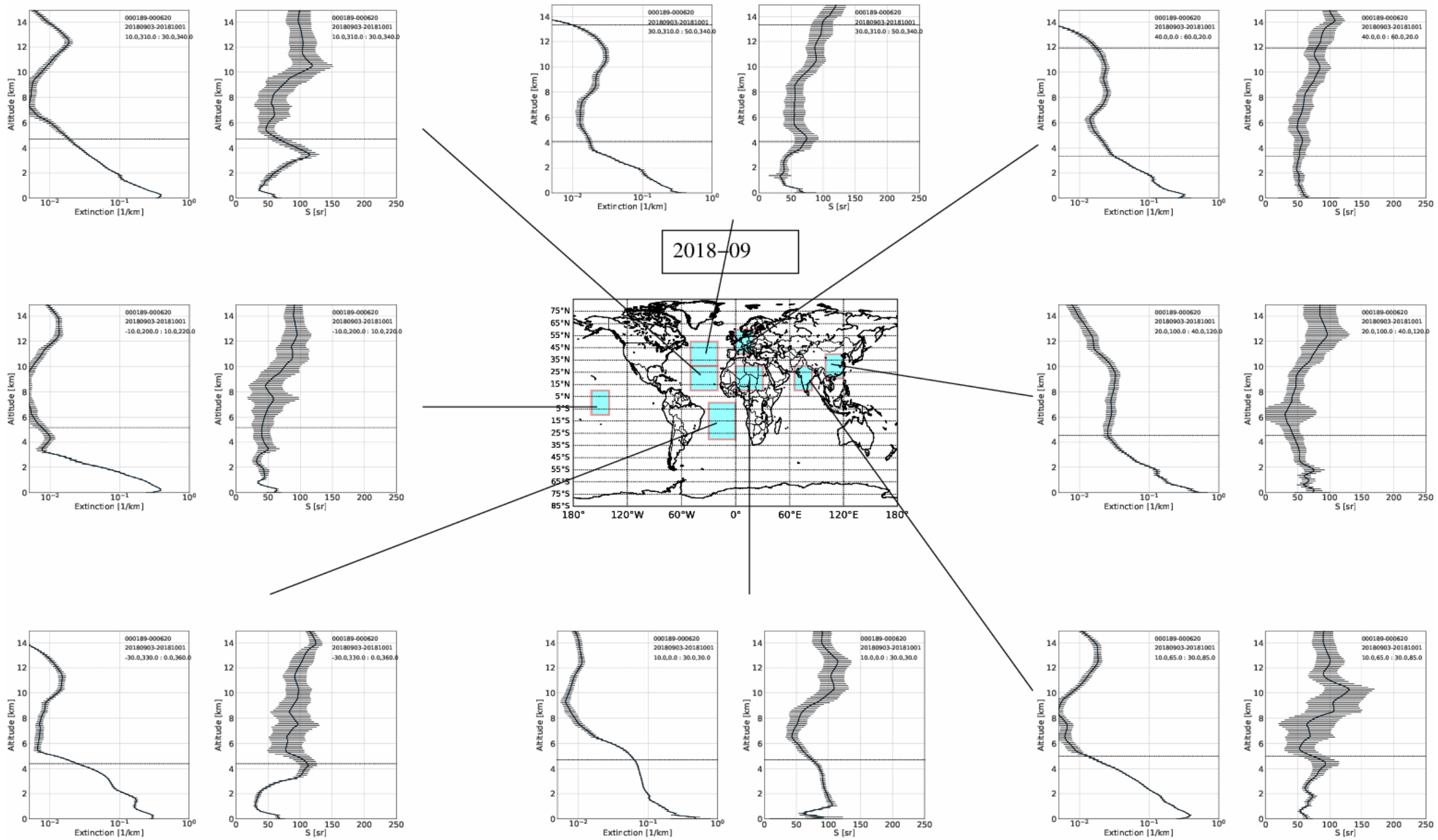


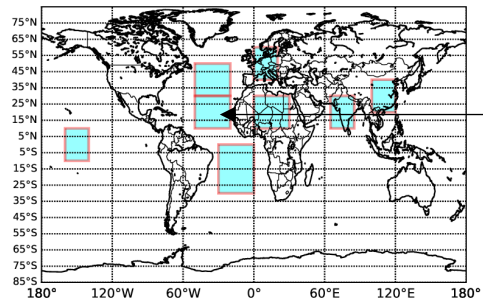
Tropopause

Mean O Deg C level (above here there is thin ice cloud contamination)

Simple OT<1 threshold used for filtering







# Atlantic: East of North Africa

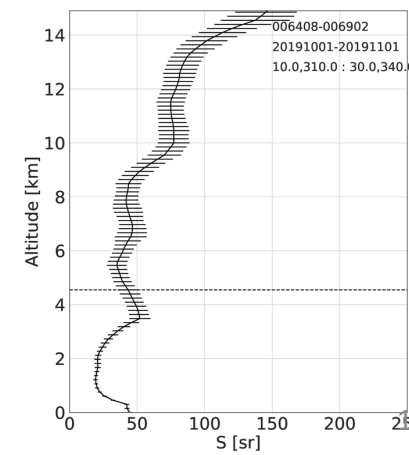
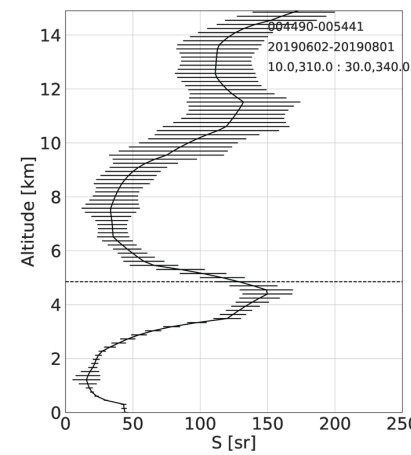
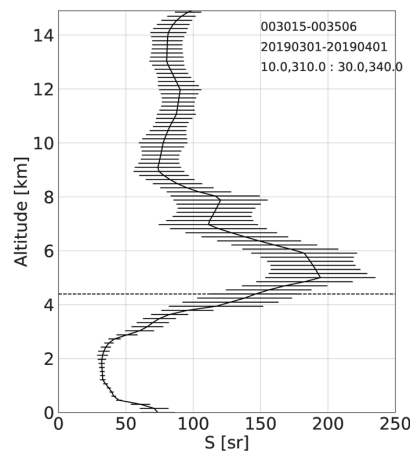
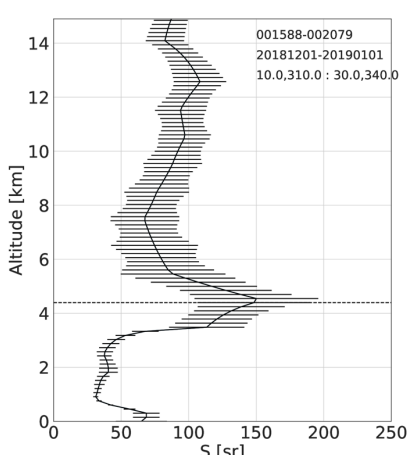
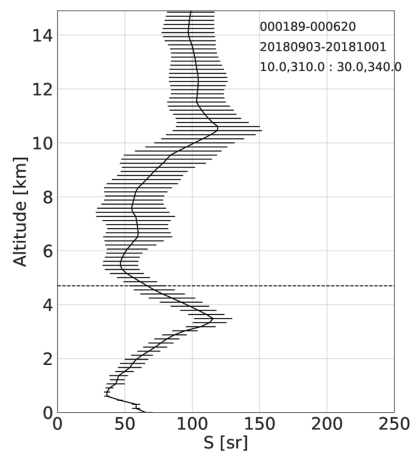
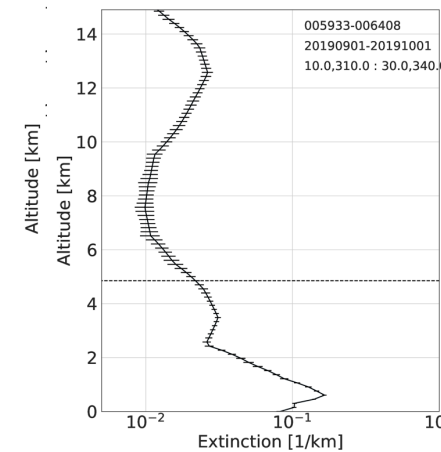
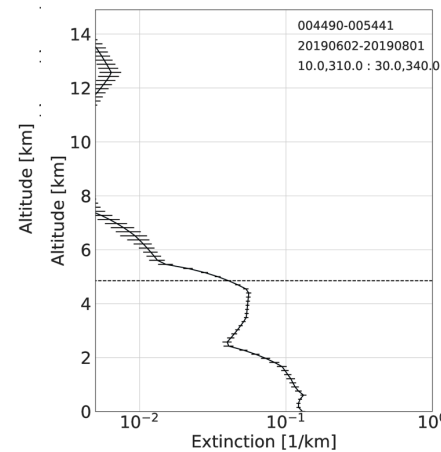
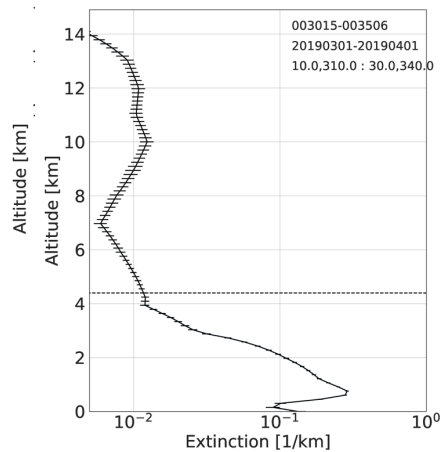
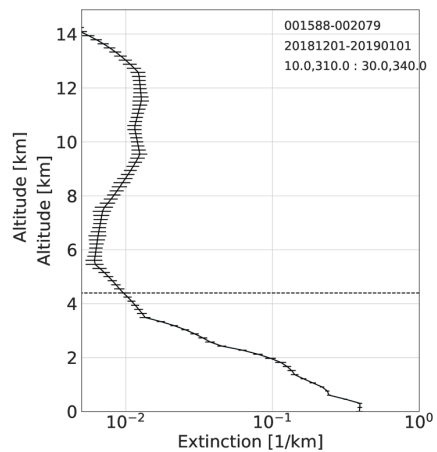
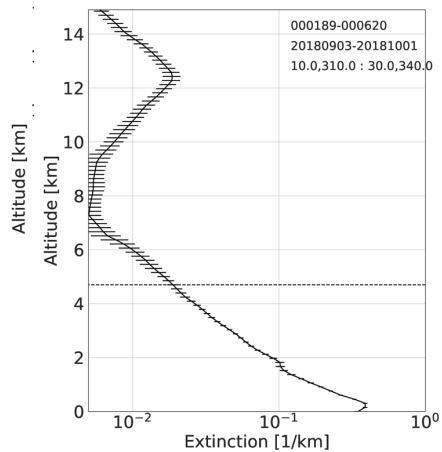
09-2018

12-2018

03-2019

06-2019

09-2019



# Summary and Future work-I

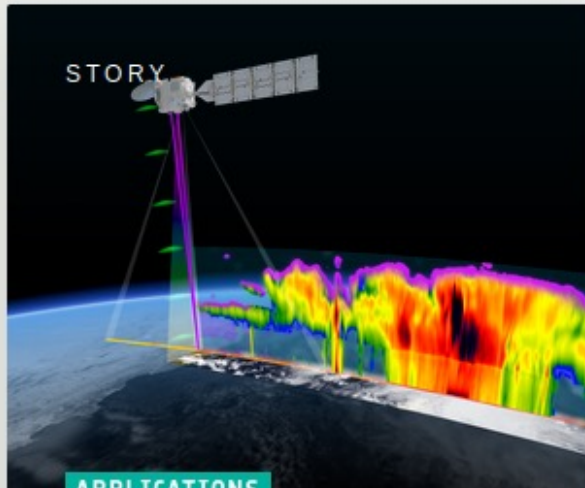
## AEL\_FM and AEL\_PRO

- Data is certainly useful !
- AEL\_PRO data soon to be released
  - Classification in the data is NOT reliable.
- Future Work
- Debugging Validation/Evaluation
- Better Classification product ?
  - Better cirrus-vs-aerosol discrimination.
  - Limited Aerosol typing.
- Better ATBs : Combining MSP-only Mie ATB with RSP signals ?

# Summary-II

- EarthCARE (should be) much more useful for aerosols and clouds !
  - Launch target May 1<sup>st</sup> !
  - Better SNR
  - Better Resolution
  - Depol Channel → Better target classification !
  - Measurements in context with Radar and Imager !
  - Provision of (best-effort) NRT data (at least L1 and (some-L2)) !
  - See AMT special issue (25+ papers)  
[https://amt.copernicus.org/articles/special\\_issue1156.html](https://amt.copernicus.org/articles/special_issue1156.html)
  - Sample Data: <https://zenodo.org/records/7728948>
- Aeolus has really helped prepare for EarthCARE !
  - Valuable example of inter-mission synergy !

→ THE EUROPEAN SPACE AGENCY

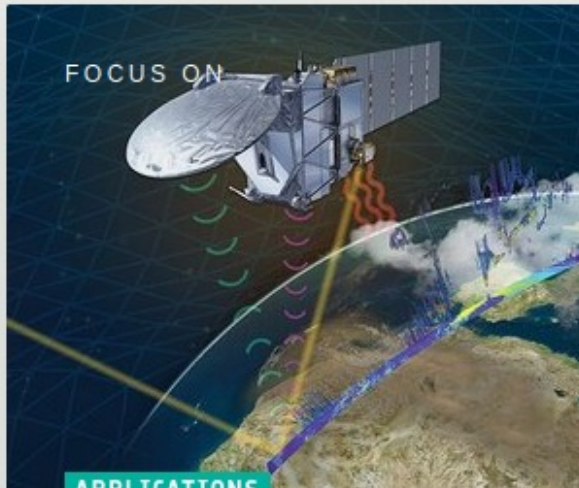


APPLICATIONS

### Gearing up for EarthCARE

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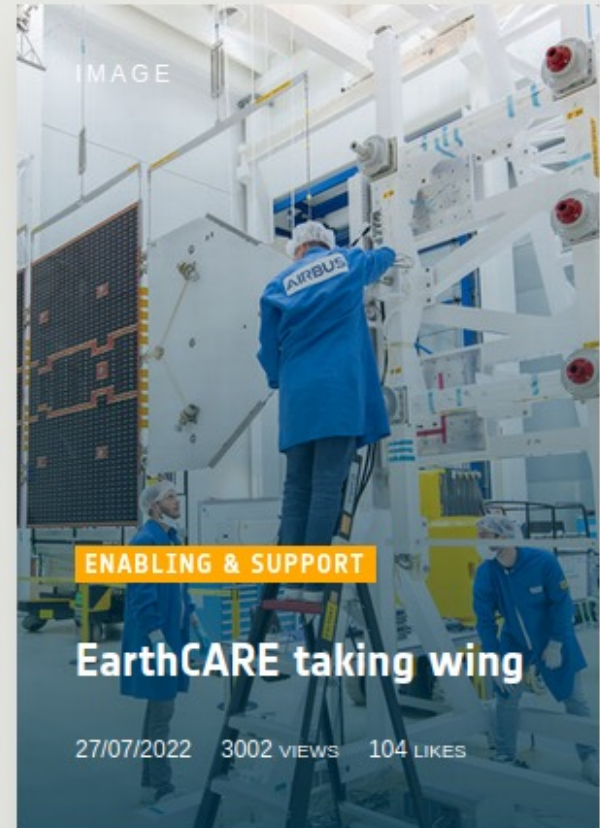


APPLICATIONS

### EarthCARE science and validation workshop

13 - 17 November 2023 | ESA-ESRIN, Frascati, Italy

OPEN



ENABLING & SUPPORT

### EarthCARE taking wing

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Published March 13, 2023 | Version 10.10

Dataset Open

# EarthCARE level-2 demonstration products from simulated scenes

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## Overview

The EarthCARE satellite combines four instruments, a Cloud Profiling Radar (CPR), an Atmospheric Lidar (ATLID), a Multispectral Imager (MSI) and a Broadband Radiometer (BBR), from which many products will be generated on the properties of clouds, aerosols, precipitation and radiation. The dataset in this repository consists of test products generated from simulated 3D scenes produced by

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### Versions

Version 10.10	Mar 13, 2023
10.5281/zenodo.7728948	
Version 10.01	Nov 10, 2022
10.5281/zenodo.7311704	
Version 09.01	Sep 27, 2022
10.5281/zenodo.7117116	

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