



# Developments in CALIOP Aerosol Products

Dave Winker

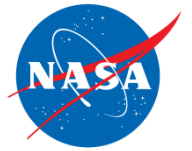
NASA Langley Research Center  
Hampton, VA



# Outline



- ❑ Level 3 aerosol product (beta-version)
- ❑ Version 4 Level 1 product
- ❑ A few CALIOP assimilation results
- ❑ Product Plans



## Recent Activities

- ❑ Beta-version of Level 3 aerosol product available since 2012
  - Scheduled for update to Provisional "soon"
  
- ❑ Validation of Level 2 products continues
  
- ❑ Emphasis during the last year has been on development of new Version 4 Level 1 product with improved calibration
  - 532 night: reduction of tropical bias (stratospheric aerosol)
  - 532 day: reduction of day/night bias
  - 1064: reduction of latitudinal bias
  
- ❑ Other new Level 2/3 products also in development



# Level 3 Aerosol Product

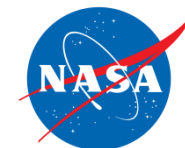


## Gridded monthly-average profiles:

- ❑ Beta-version reported on a  $2^\circ \times 5^\circ$  grid (lat x long)
- ❑ Extensive quality control applied to Level 2 extinction data
- ❑ Extinction in 'clear-air' set to  $0.0 \text{ km}^{-1}$  and averaged along with retrievals
- ❑ Several types of near-surface artifacts are removed
- ❑ Available from June 2006, updated monthly
- ❑ Reference:
  - Winker et al., 2013: The global 3-D distribution of tropospheric aerosols as characterized by CALIOP, ACP



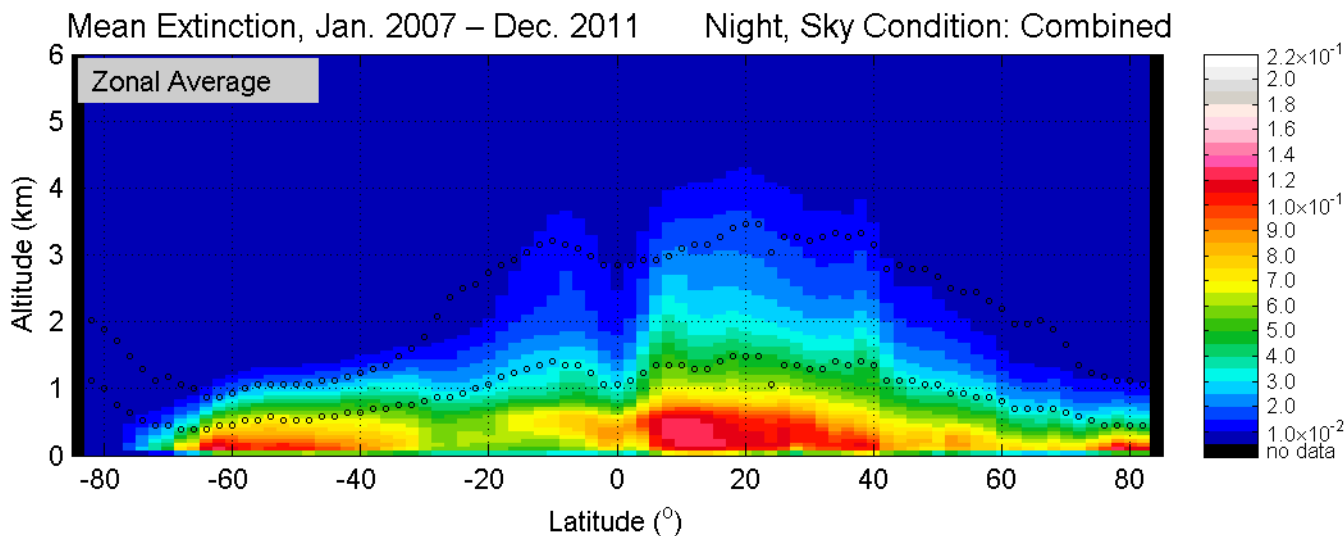
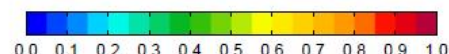
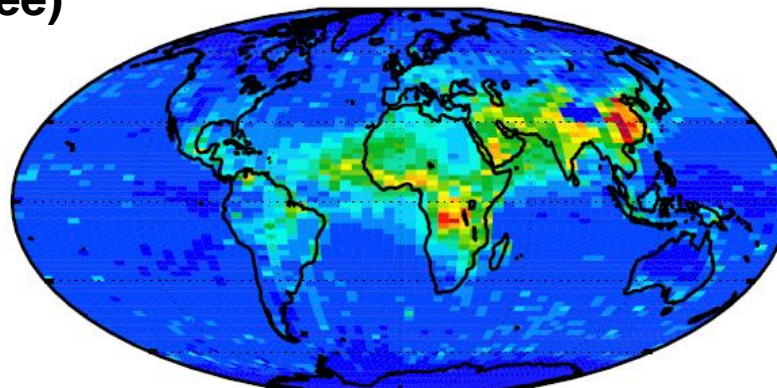
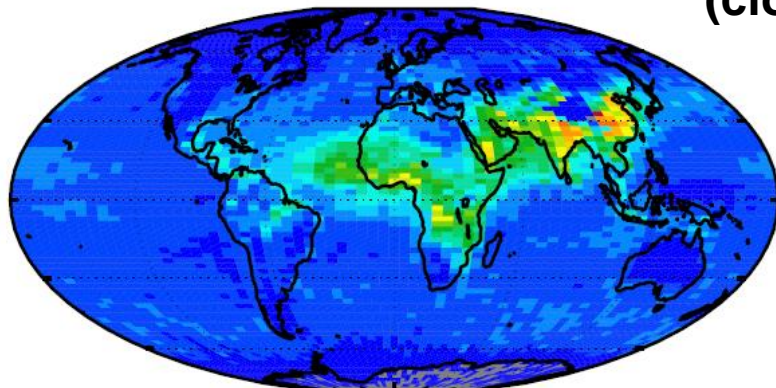
# 2008 Annual Mean



Day

(cloud-free)

Night



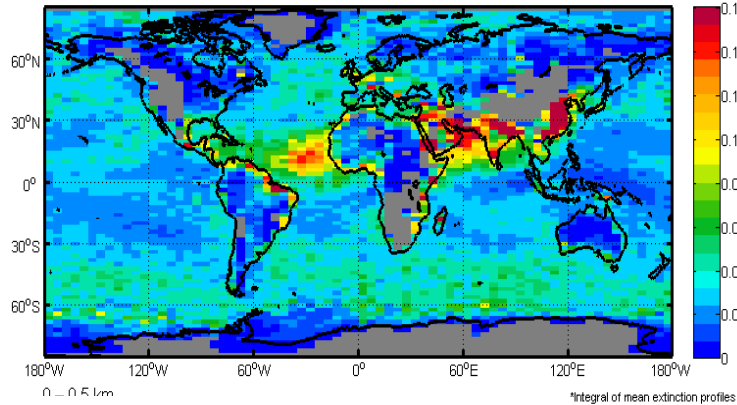


# Vertically Resolved AOD



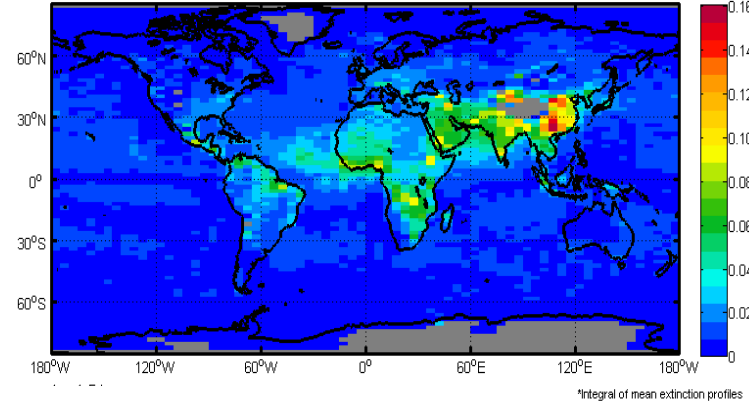
## 0 to 0.5 km:

Night, Sky Condition: All Sky



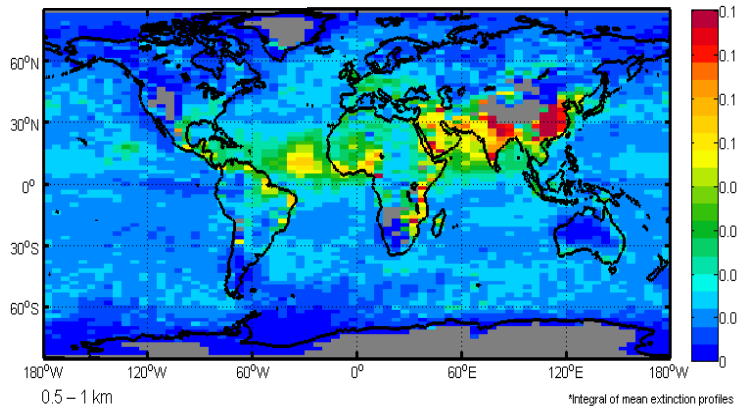
## 1 to 1.5 km:

Night, Sky Condition: All Sky



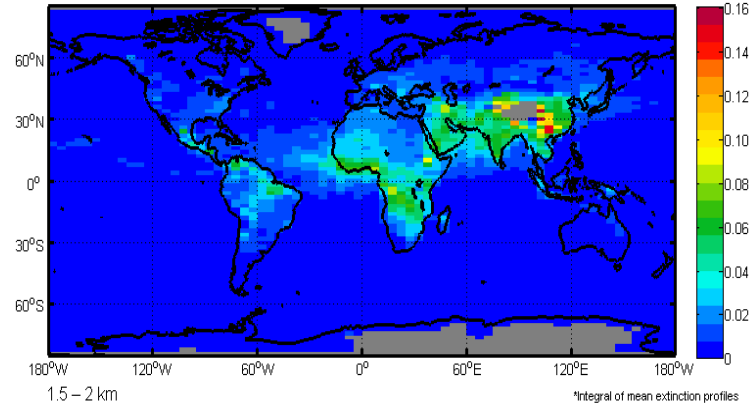
## 0.5 to 1 km:

Night, Sky Condition: All Sky



## 1.5 to 2 km:

Night, Sky Condition: All Sky

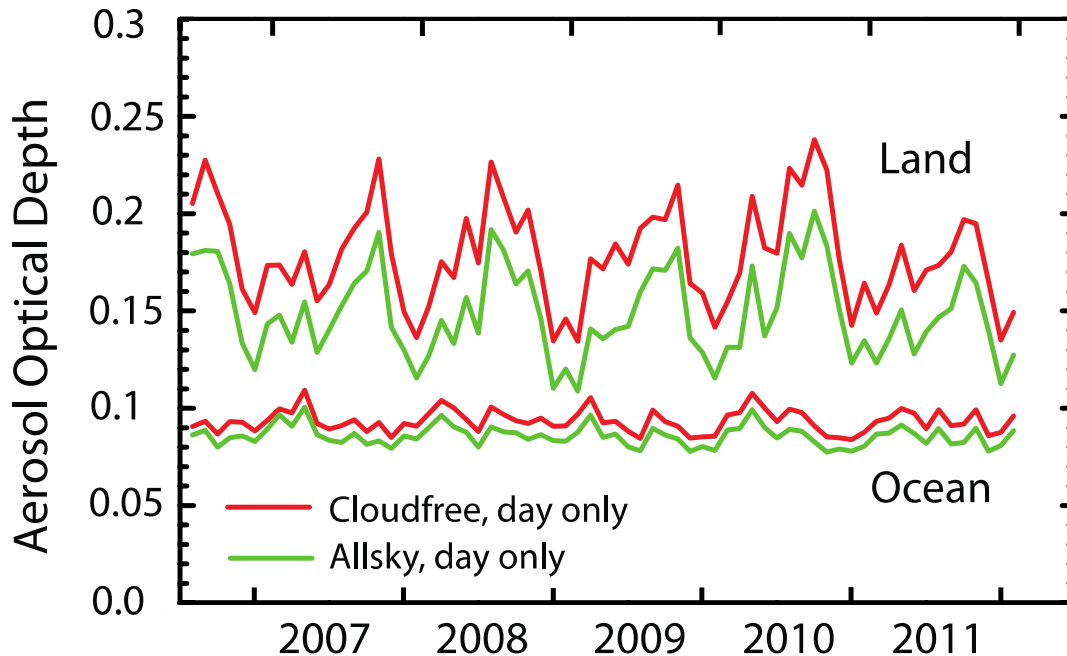




# Global Mean AOD



	global ocean		global land	
	<u>CALIOP</u>	<u>MODIS C5</u>	<u>CALIOP</u>	<u>MODIS C5</u>
	night	day	night	day
cloud-free	0.087	0.093	0.21	0.18
all-sky	0.098	0.086	---	---



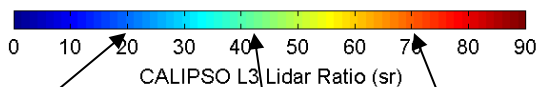
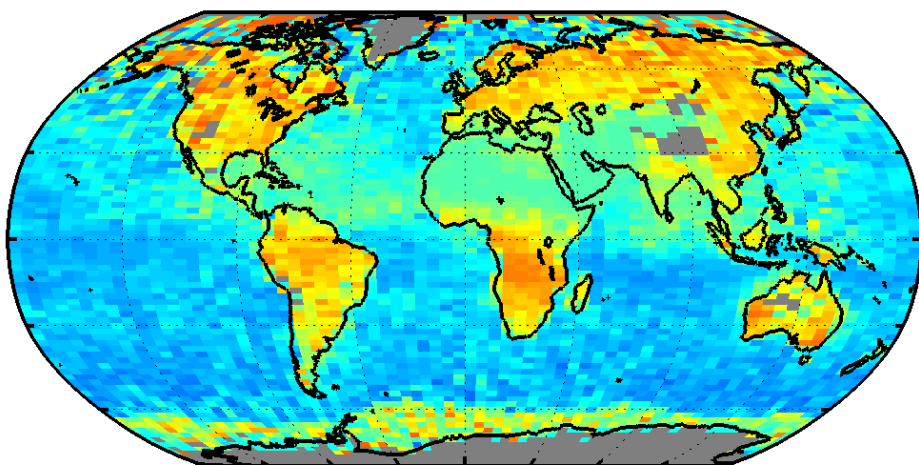
De-seasonalized trend:

ocean:  $-0.0004$  per decade

land:  $-0.0080$  per decade

# Aerosol Type, JJA 2008

Average Lidar Ratio, Jun-Aug 2008, Daytime, AllSky. Layers < 2 km

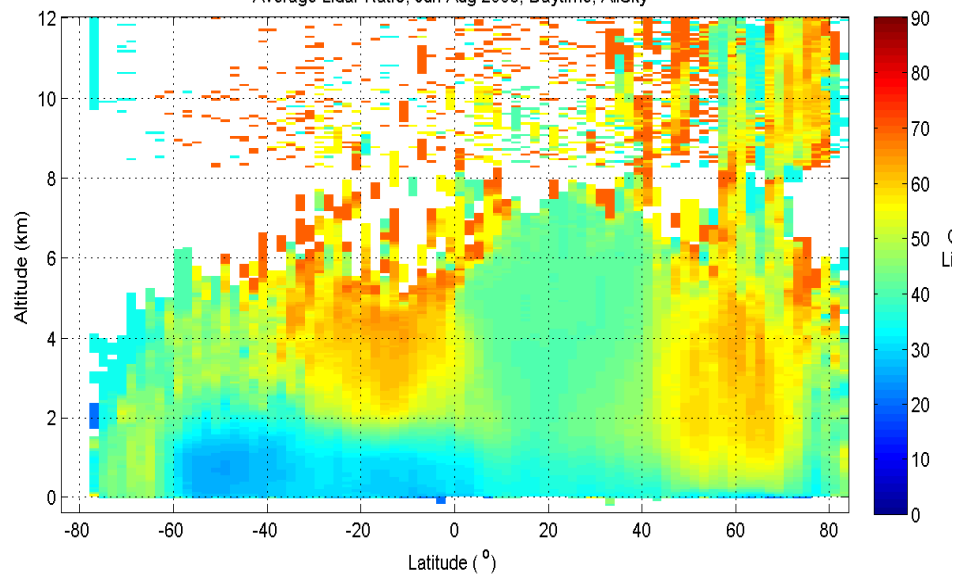


marine

dust

smoke,  
pollution

Average Lidar Ratio, Jun-Aug 2008, Daytime, AllSky





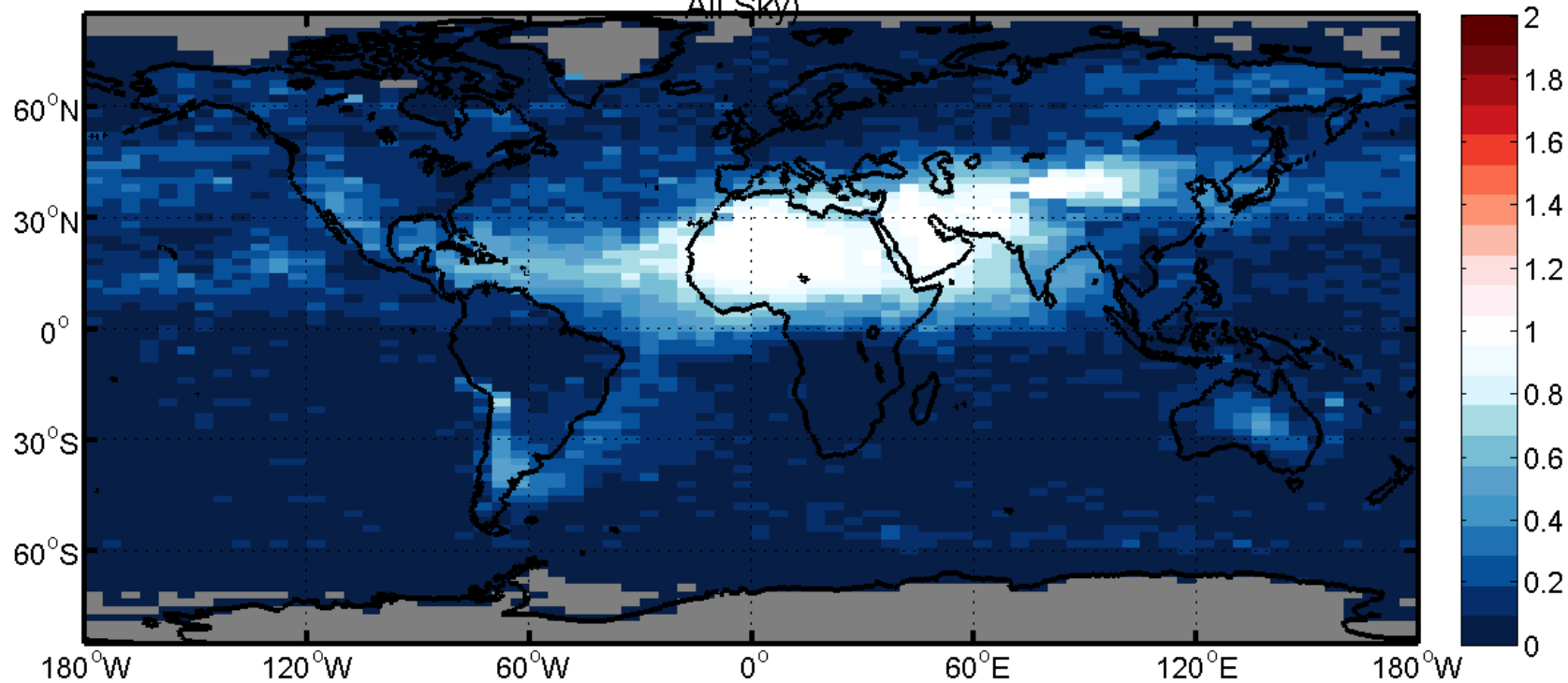


# Fraction of AOD due to Dust



## Depolarization allows robust identification of dust

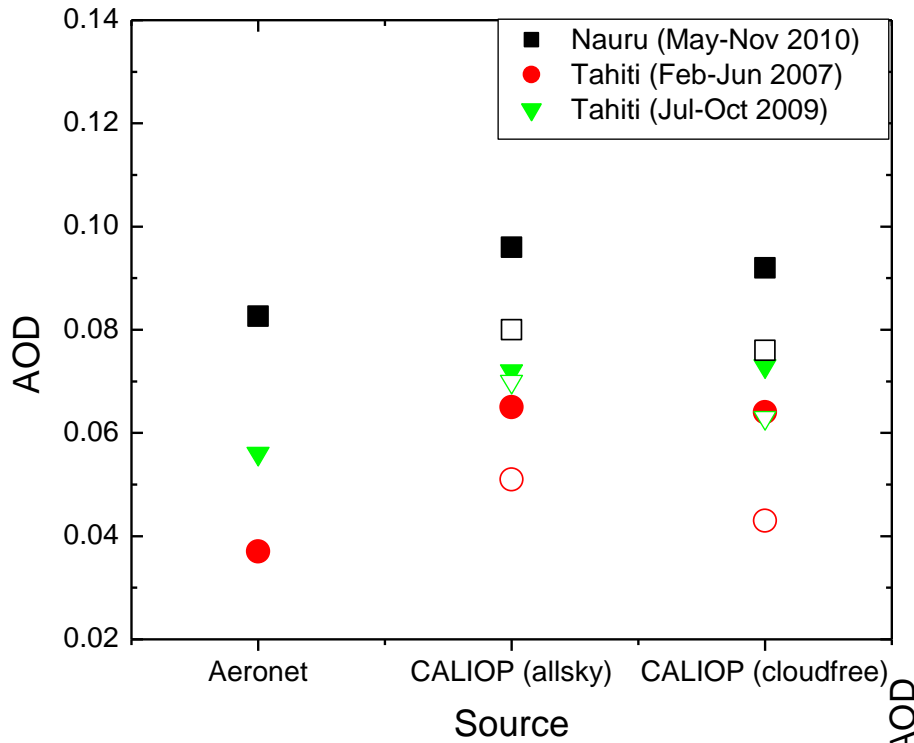
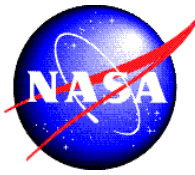
AOD Ratio ( Dust Jan. 2007 – Dec. 2011 , Night, All Sky) / (Jan. 2007 – Dec. 2011 , Night, All Sky)



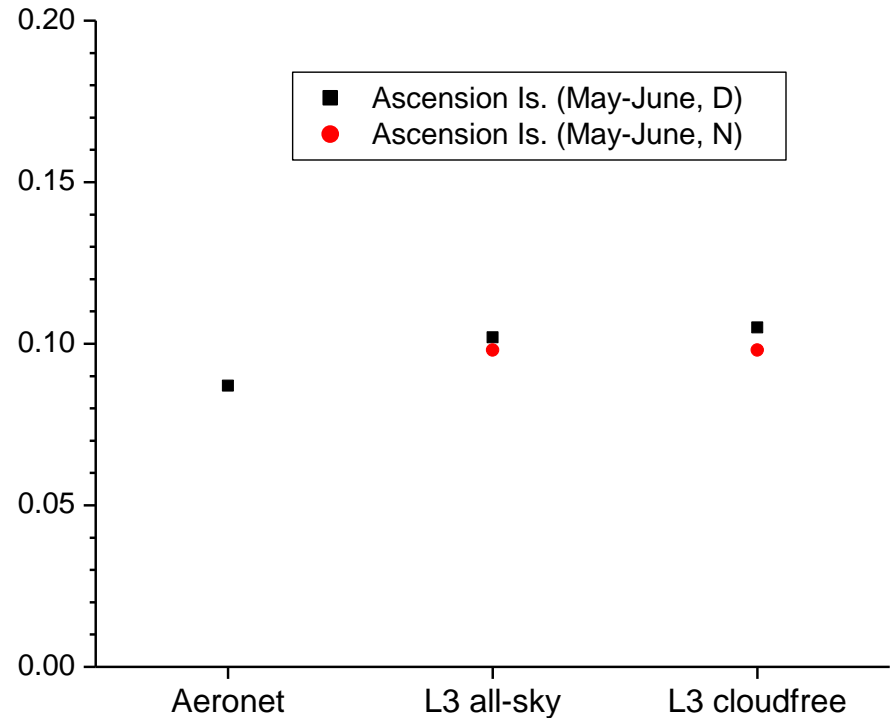
\*Integral of mean extinction profiles



# Clean Marine Regions: Level 3 vs. Aeronet



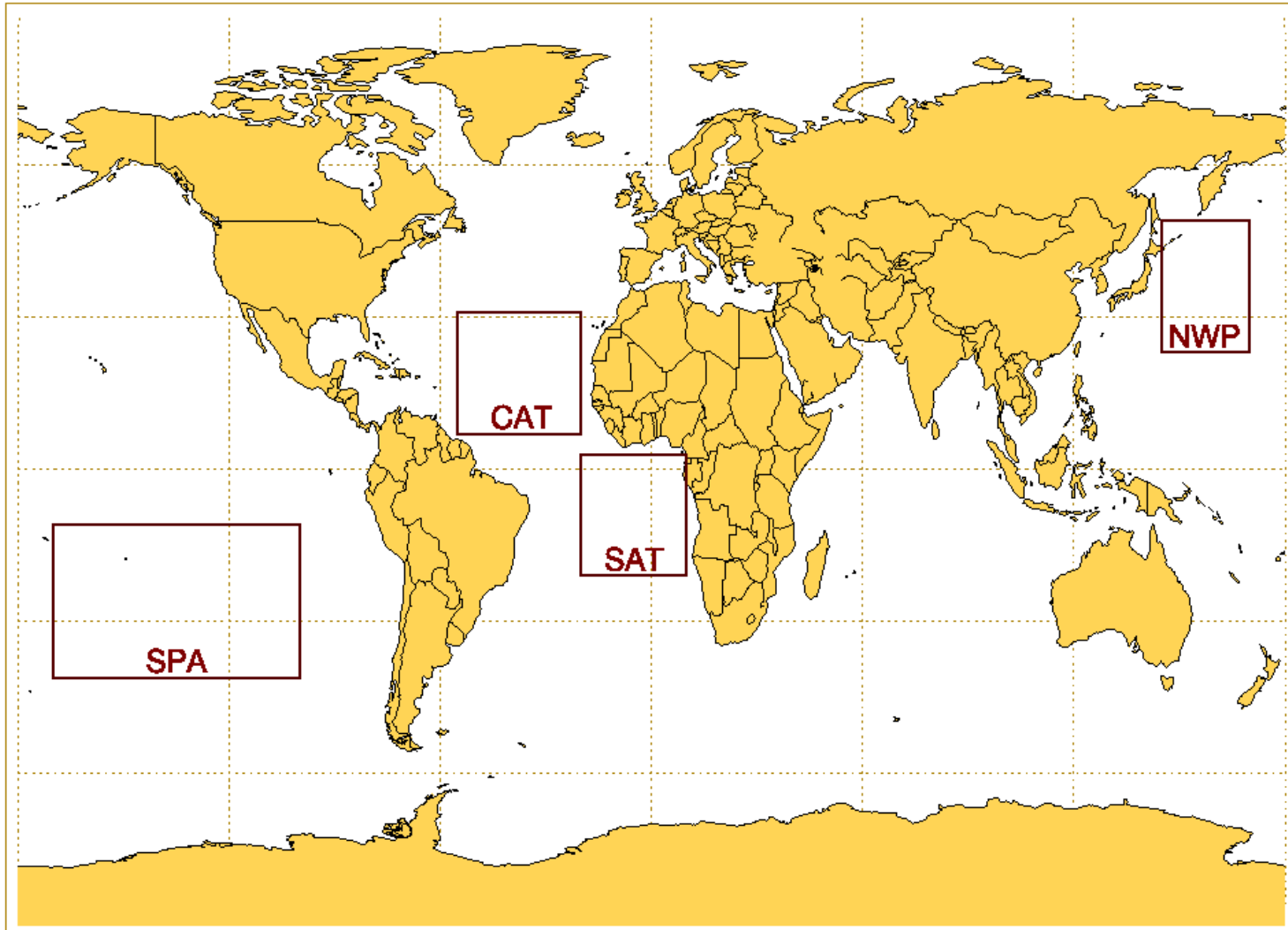
Based on regional-seasonal averages



	CALIOP region	
Nauru	4S-4N	160E-170E
Tahiti	16S-20S	160W-140W

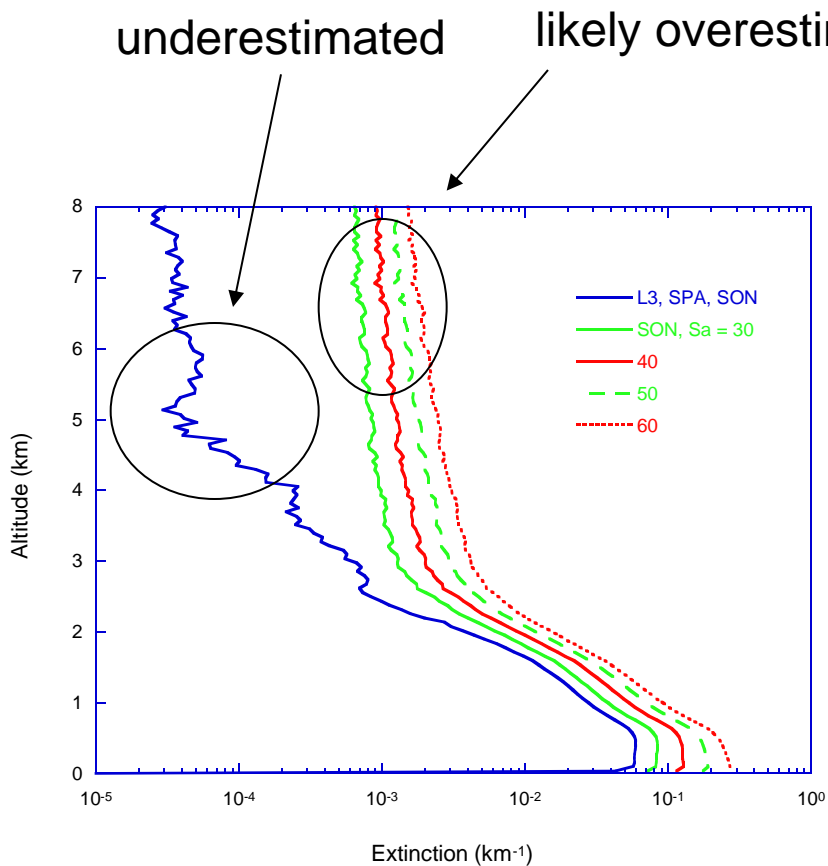
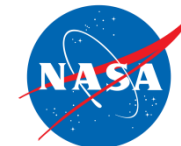


# Profile Validation

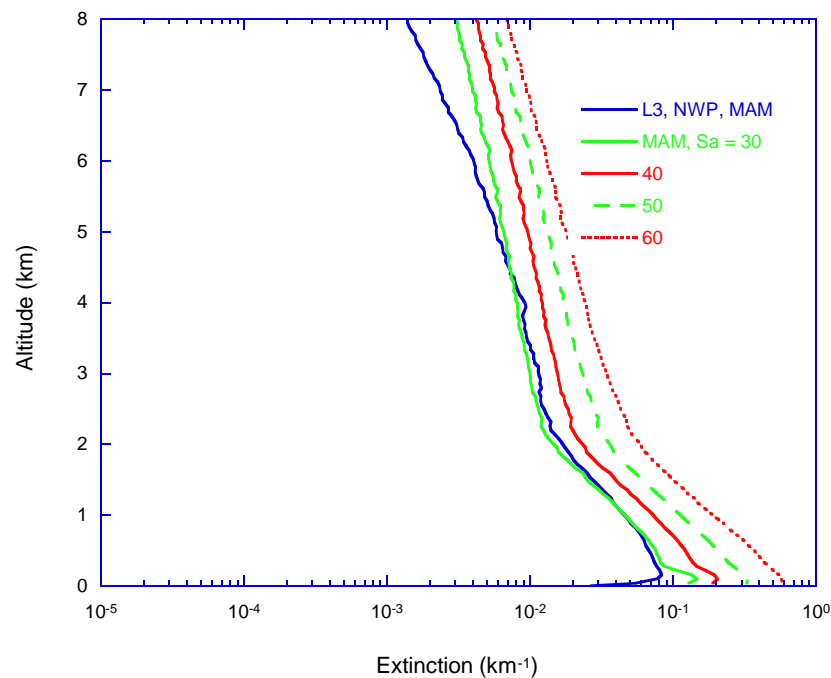




# Standard Retrieval vs. Full-Column



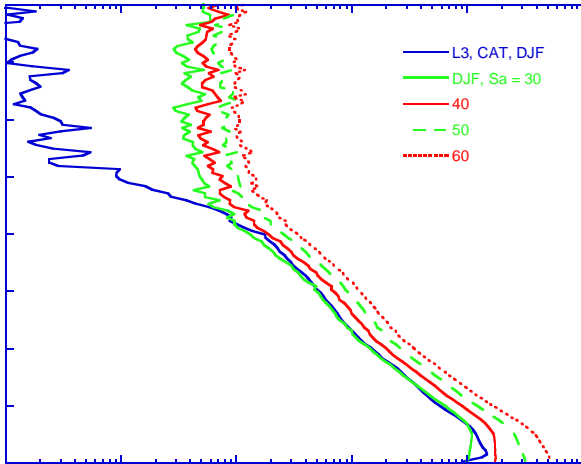
**South Pacific (SON)**



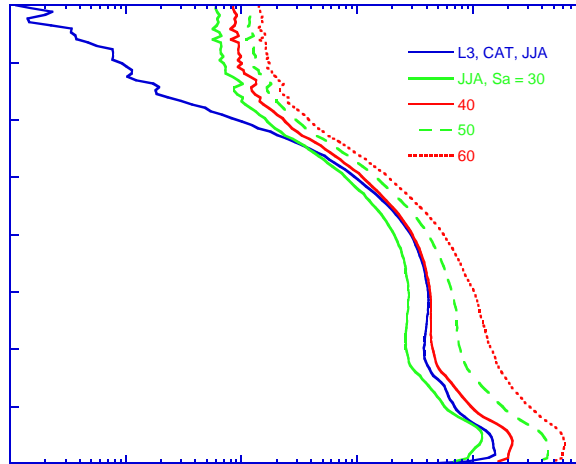
**Northwest Pacific (MAM)**



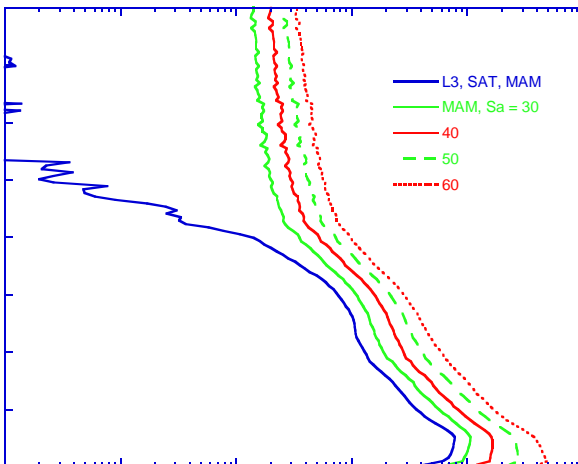
CAT, DJF



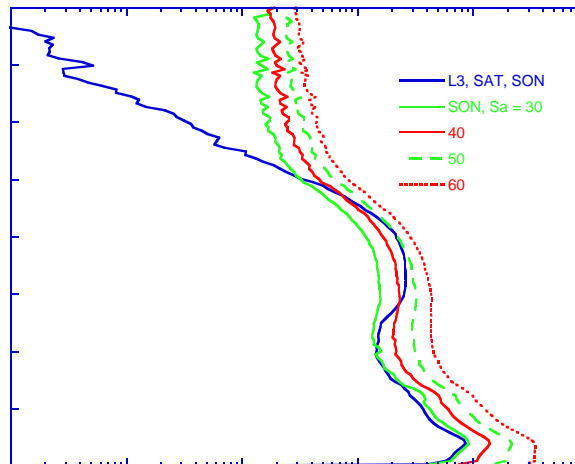
CAT, JJA



SAT, MAM

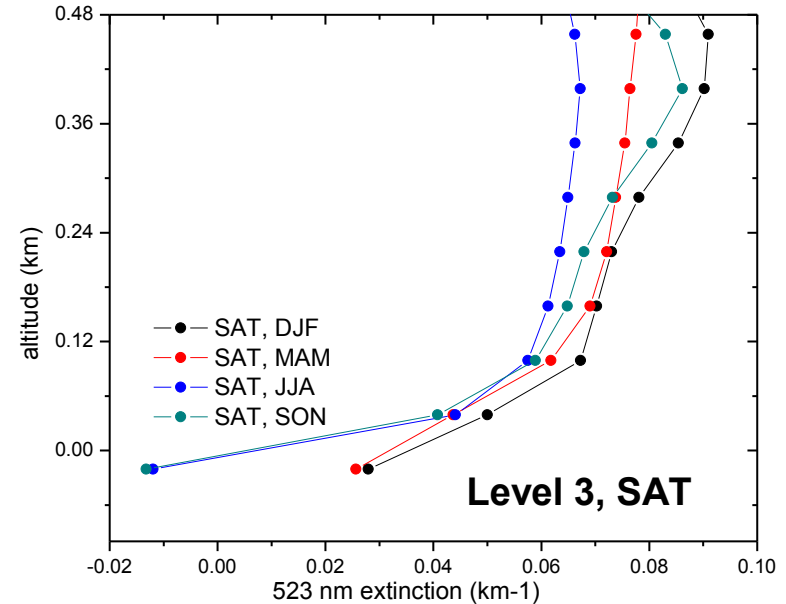
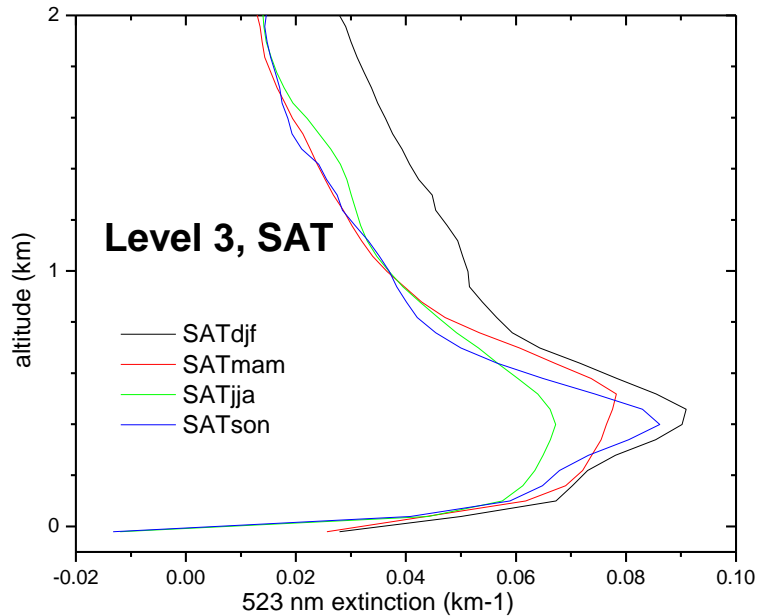


SAT, SON





# Near-surface Extinction Decrease



- Over ocean, negative spikes are sometimes found at -20 m or +10 m
  - Should be part of the surface return
- Affects only the lowest two range bins
- Will be mitigated in next version of Level 3 aerosol product



# Version 4 Level 1



# 532 nm Calibration



**V3 night: backscatter signal normalized to model atmosphere, 30-34 km**

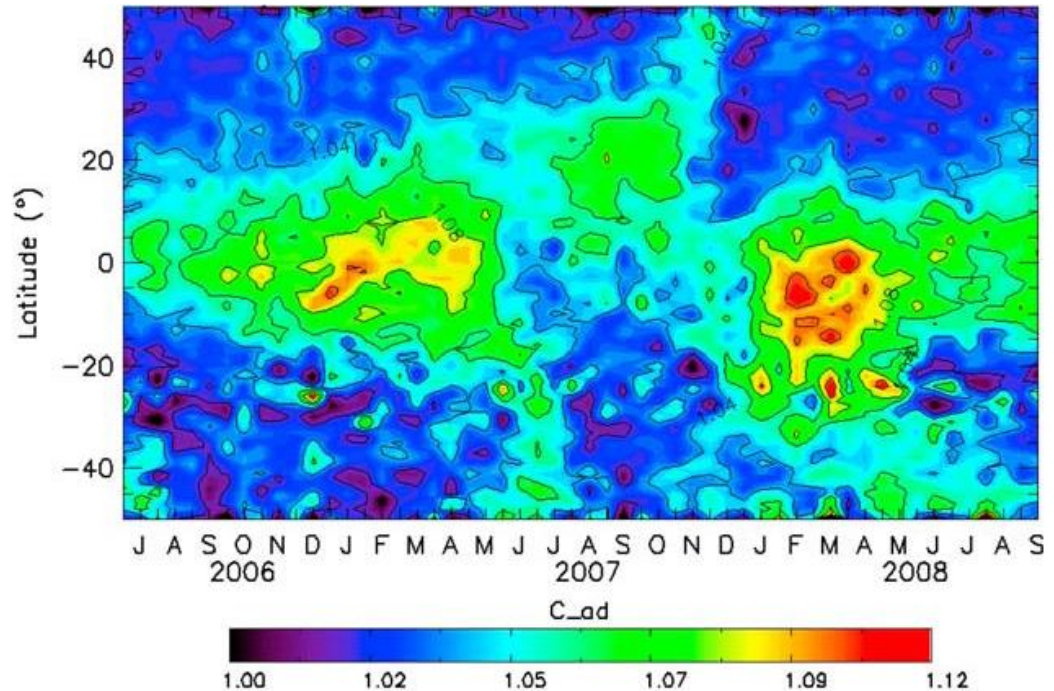
**V3 day: daytime normalized to nighttime, 8-12 km**

## Version 3

- Aerosol at 30 - 34 km, mostly tropical, biases 532 nm night calibration
- Day-night calibration biases

## Version 4

- Night calibration region raised to 36 - 39 km
- Day calibration region raised above tropopause
- Average over multiple orbits



(Vernier, et al., JGR, 2009)

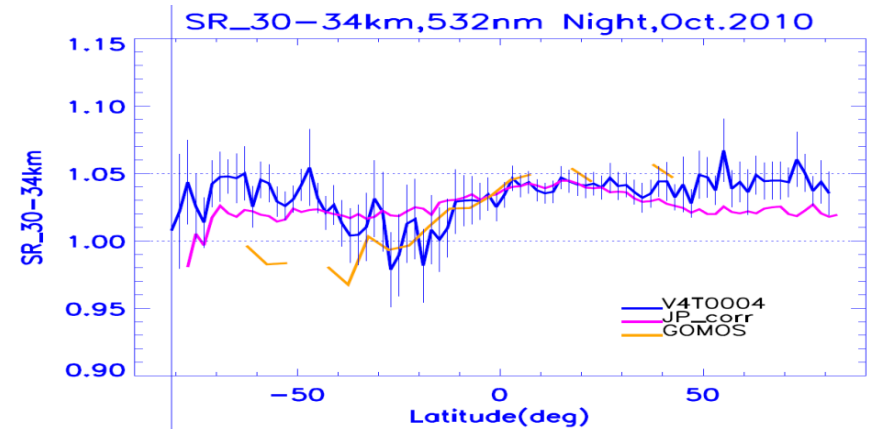
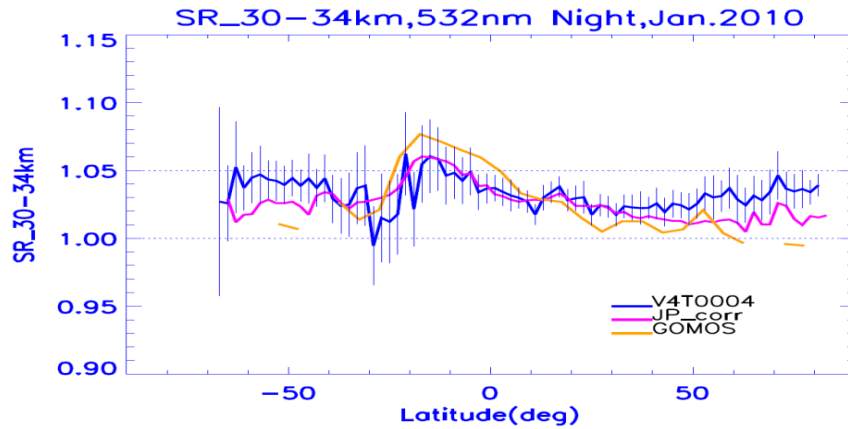




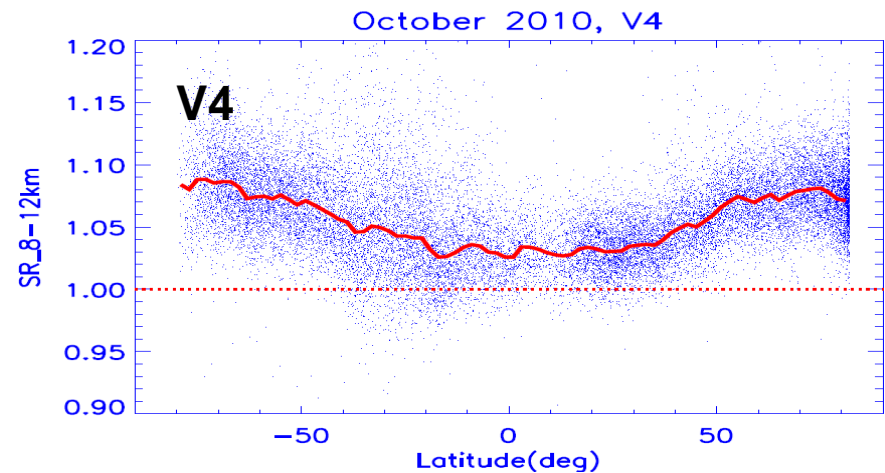
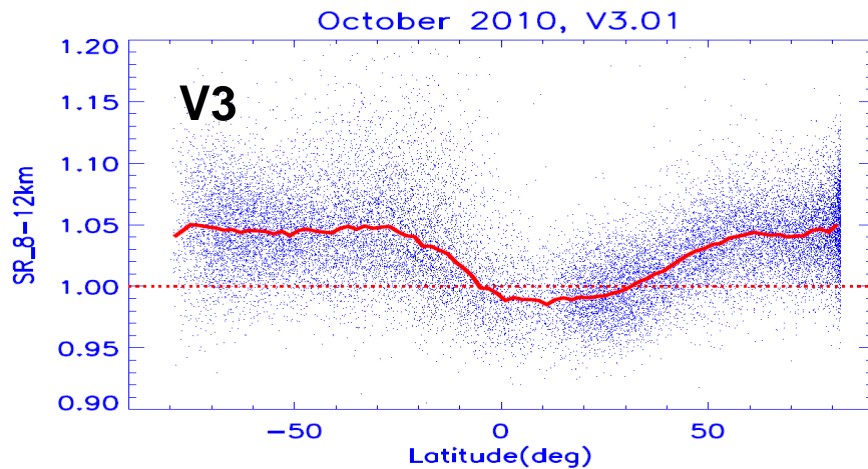
# 532 nm Night: V4 Performance



**V4:** 30-34 km scattering ratios (SR) consistent with Vernier (2009) and GOMOS

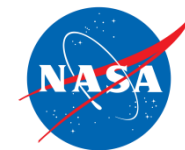


clear air 8-12 km: **V3)** SR < 1 in tropics (non-physical). **V4)** SR > 1 for all seasons

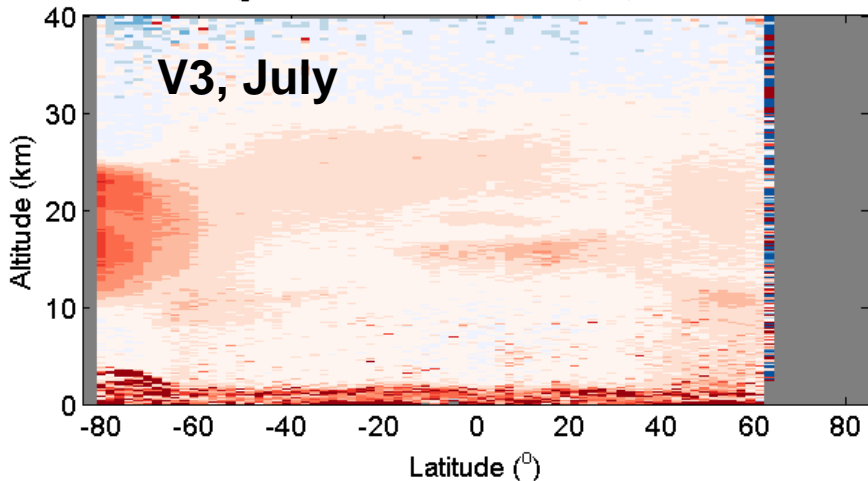




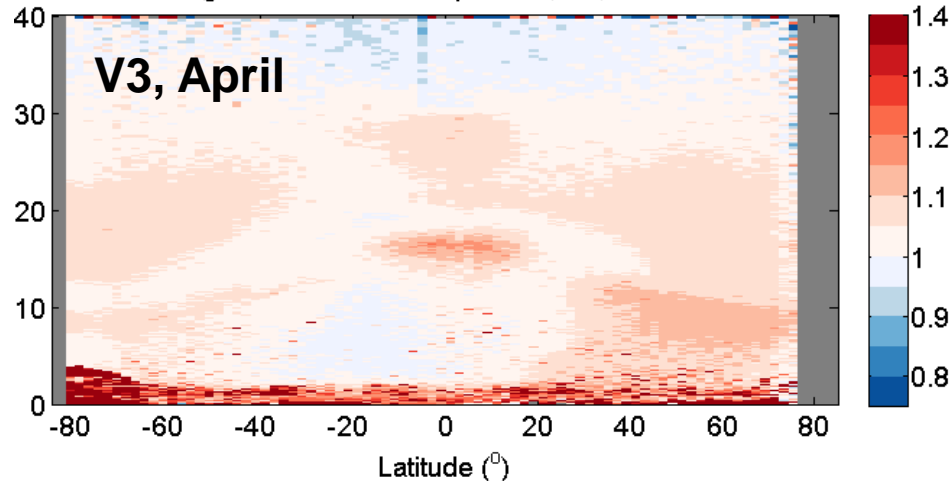
# 532 nm SR of background aerosol using V3 aerosol/cloud mask



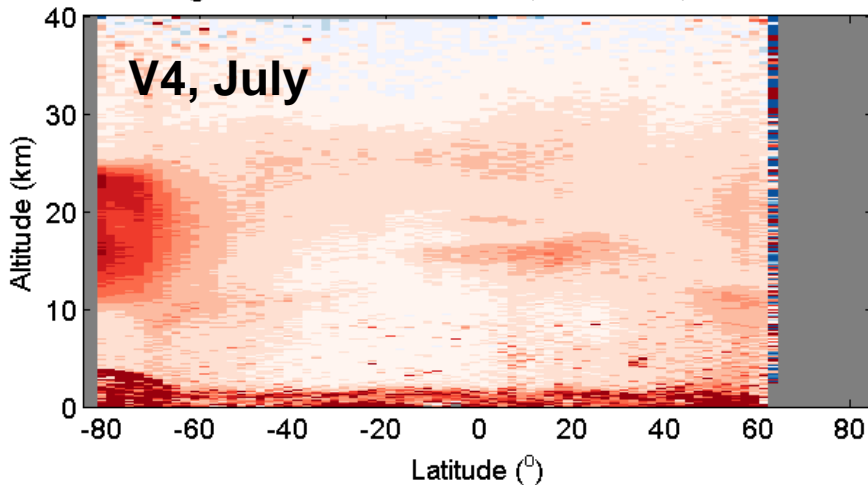
Nighttime clear-air R' Jul 2010, V3, no SAA



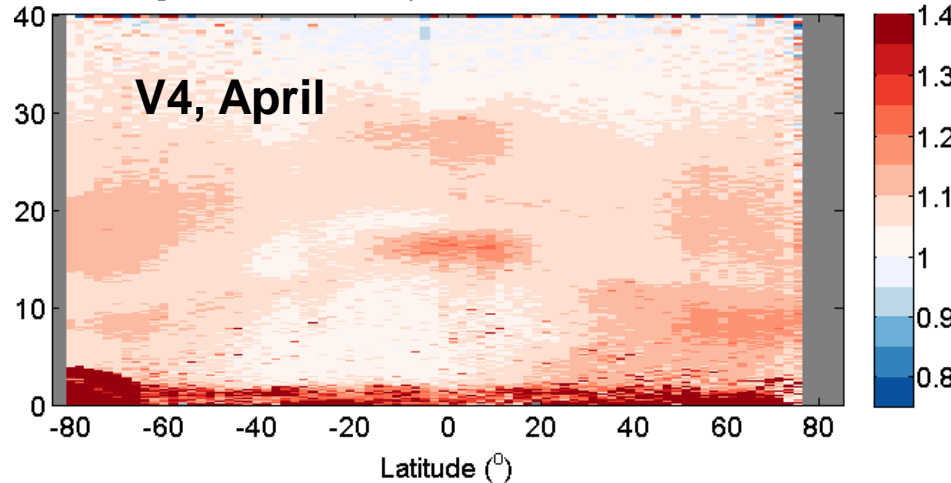
Nighttime clear-air R' Apr 2010, V3, no SAA



Nighttime clear-air R' Jul 2010, Test00004, no SAA

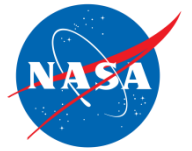


Nighttime clear-air R' Apr 2010, Test00004, no SAA

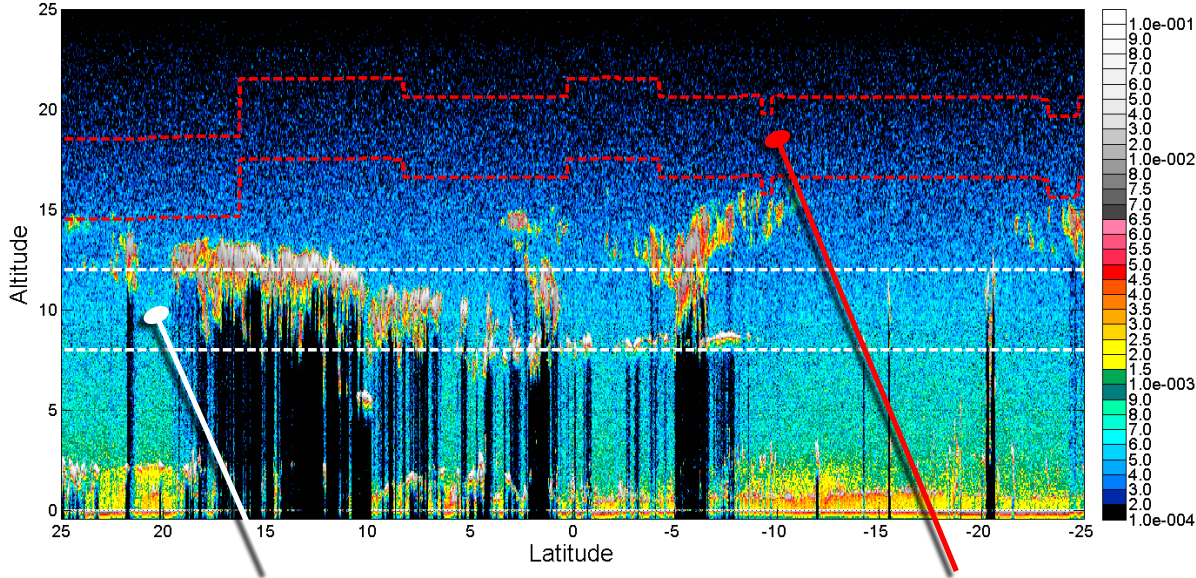




# V4 532 nm Daytime Calibration



532 nm Attenuated Backscatter ( $\text{km}^{-1}\text{sr}^{-1}$ ) 2011-04-04, ~12:12 UTC



V3 “clear air” search region: 8 - 12 km

V4 “clear air” search region, varies with tropopause height

Calibration Transfer Region moved from 8-12 km to:  
*2 -6 km above  $\theta = 400\text{ K}$*

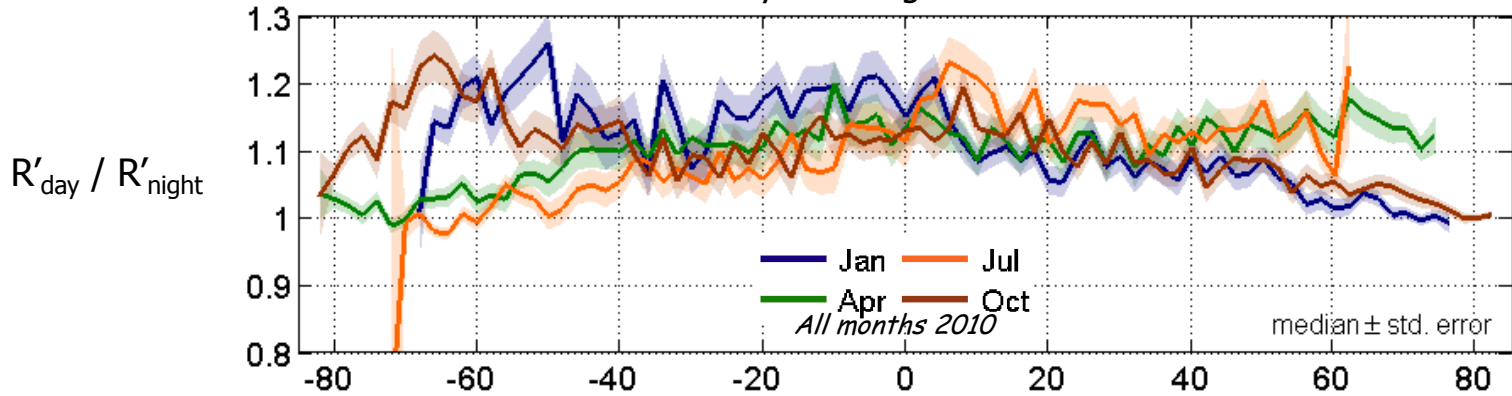
Higher altitude gives better sampling



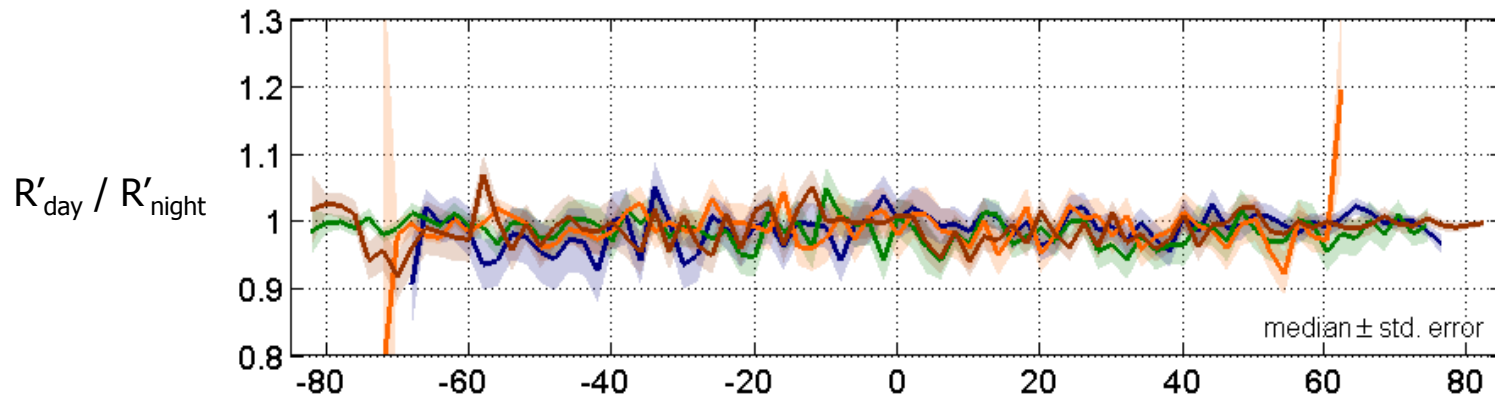
# Day/Night Scattering Ratios Agree Better in V4



## Ratio of $R'_{\text{day}} / R'_{\text{night}}$ (24 – 30 km)



**V3**



**V4**

Latitude (°)

(SAA excluded)

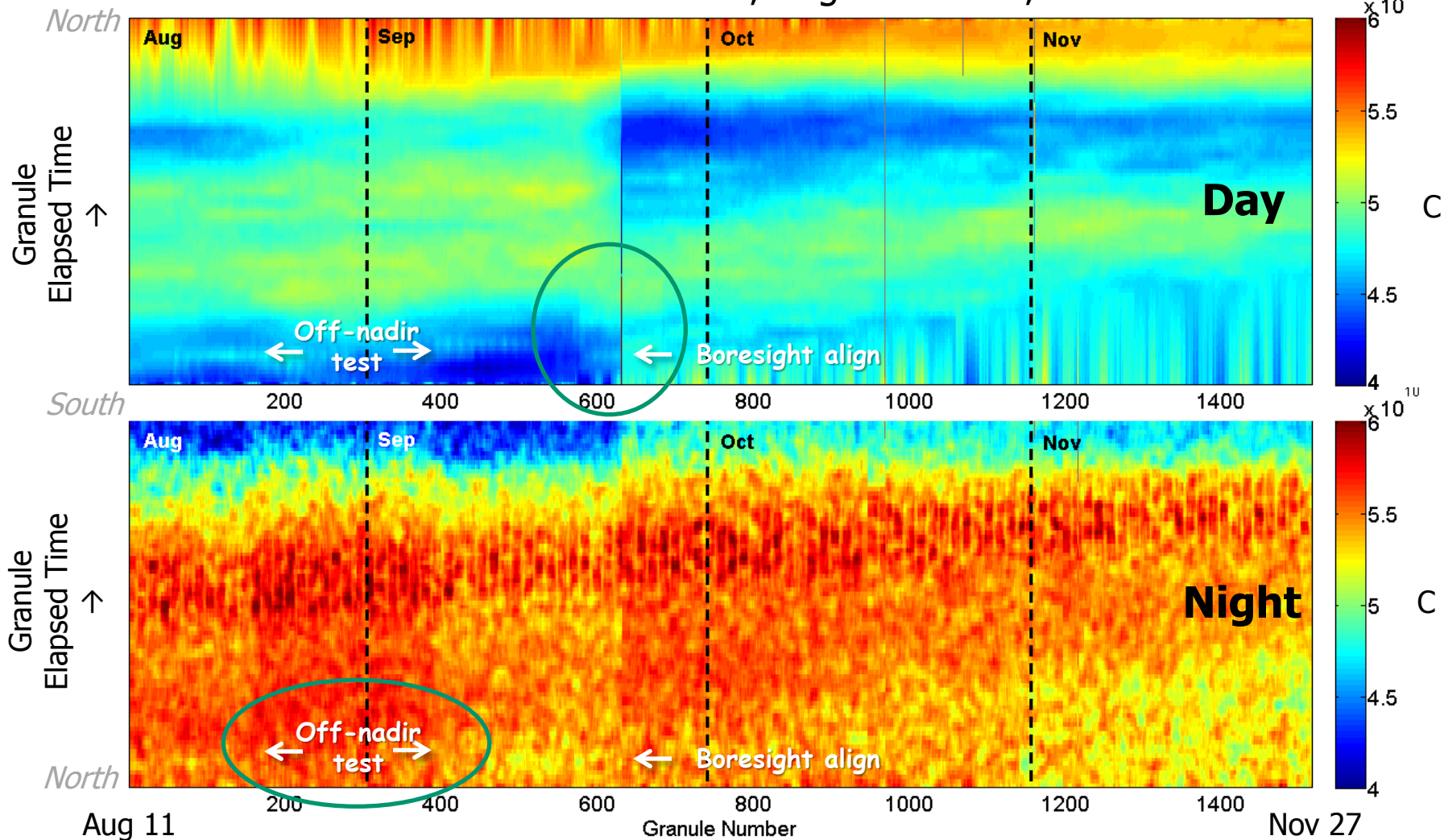


# Algorithm Accounts Correctly for Instrument Changes



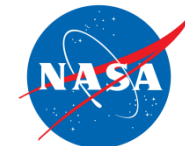
**Ver. 4**

## 532 nm Calibration Coeffs, Aug 11-Nov 27, 2007

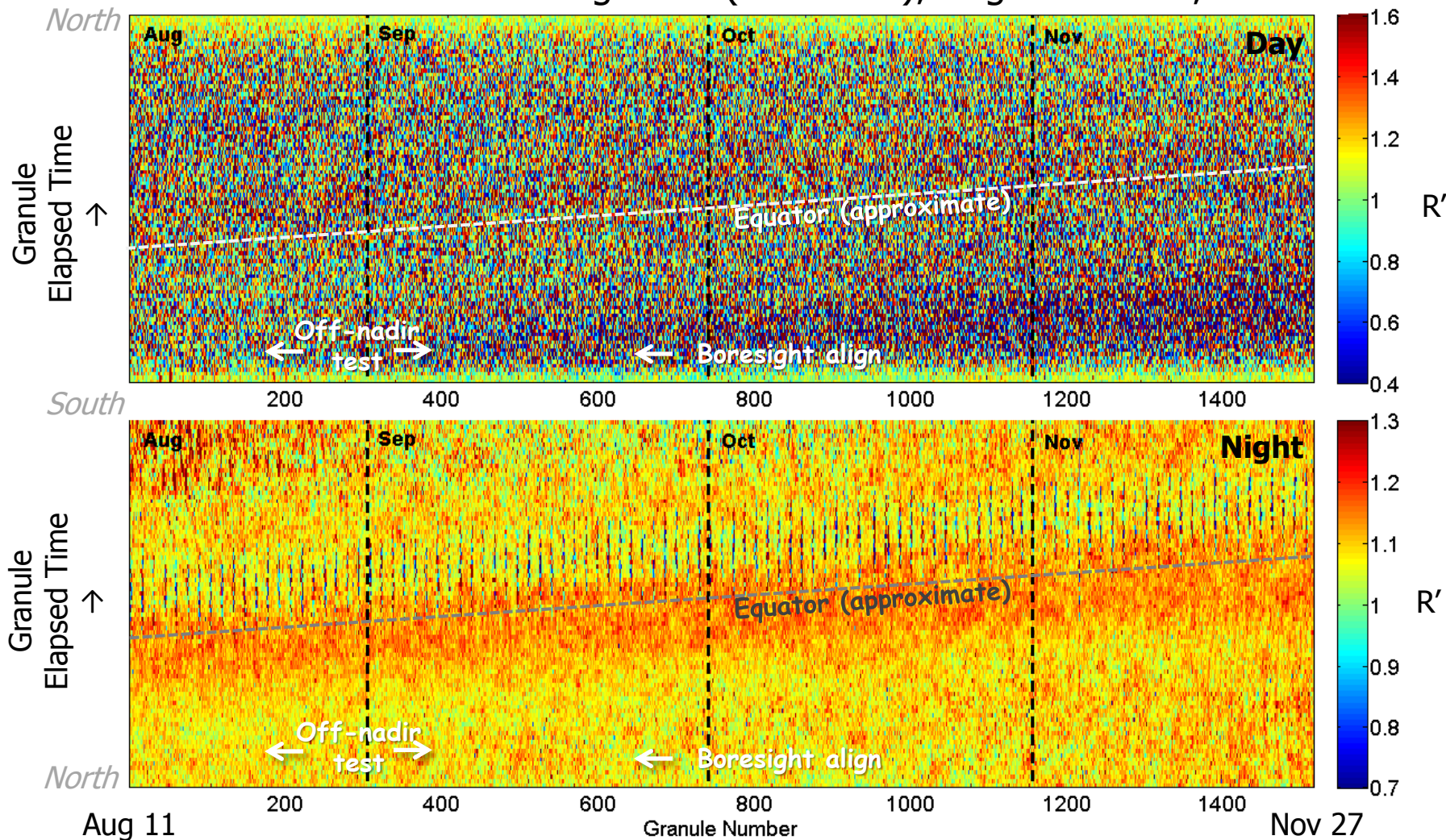




# Algorithm Accounts Correctly for Instrument Changes

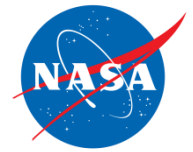


**Ver. 4** 532 nm Clear-air Scattering Ratio (24-30 km), Aug 11-Nov 27, 2007





# 1064 nm Calibration



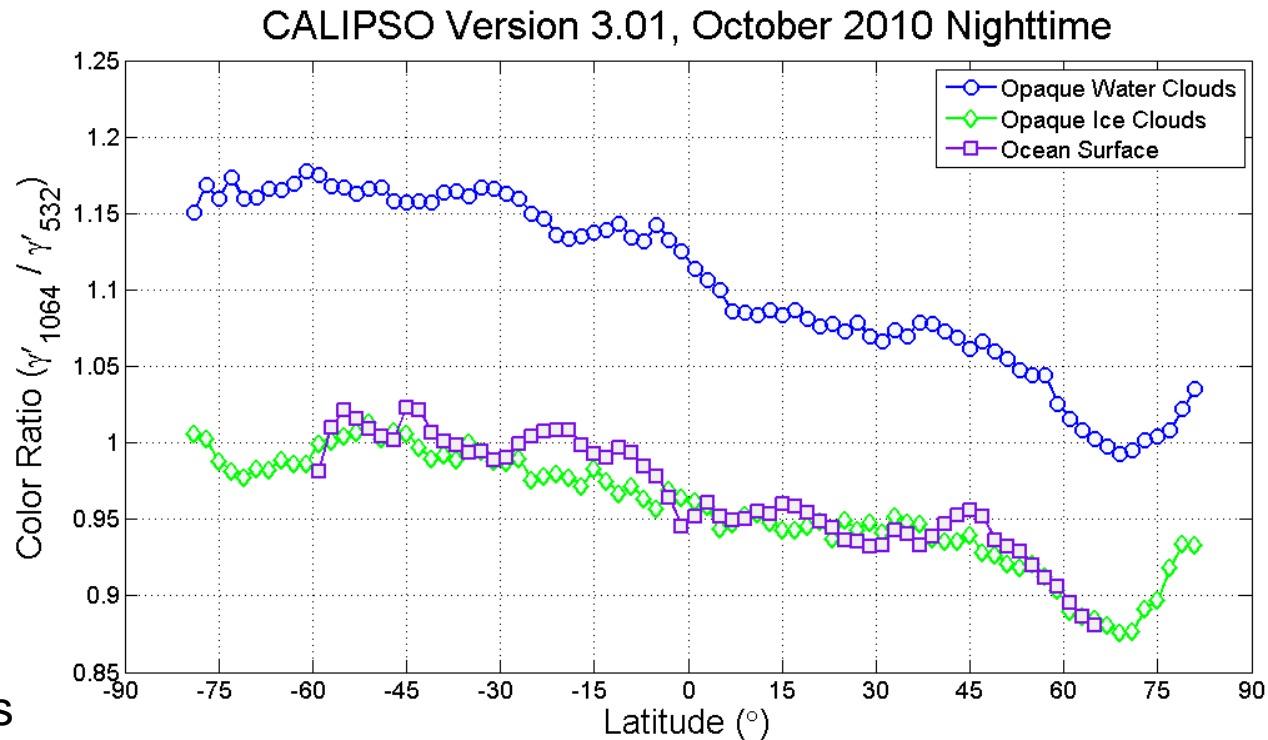
1064 nm calibration: normalized to 532 nm cirrus returns assuming  $\chi = 1$

## Version 3

1064 nm channel shows large latitudinal biases in calibration

## Version 4

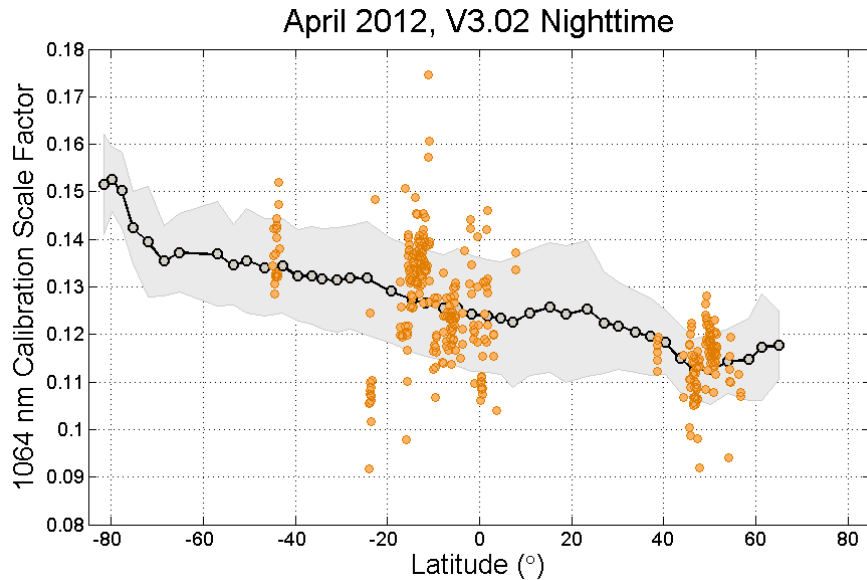
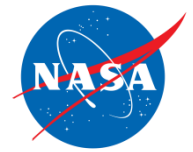
- 1) Improved selection of “calibration clouds”
- 2) Improved sampling
- 3) Calibration scale factors now computed as function of latitude



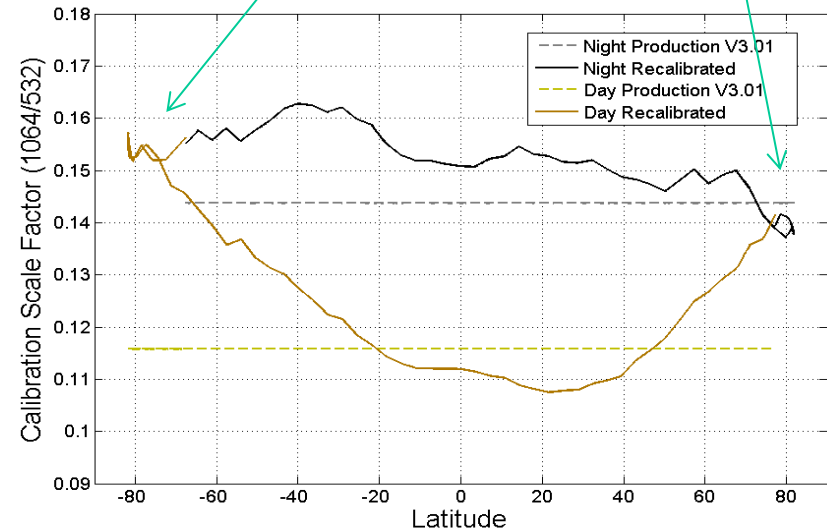
Similar latitudinal trends in color ratio from water clouds, ice clouds and ocean surface



# Now as Function of Latitudinal



Continuity of V4 calibration scale factors between night and day



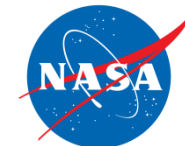
**V3:** Orbit-average calibration computed, represented by a single coefficient

**V4:** Calibration applied as a function of latitude, requires averaging over multiple granules





# Improved Cloud Selection in V4



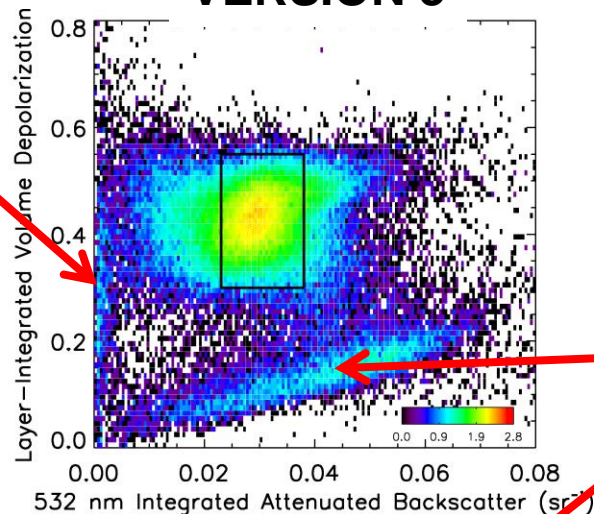
## VERSION 3

Optically thin clouds

### VERSION 3

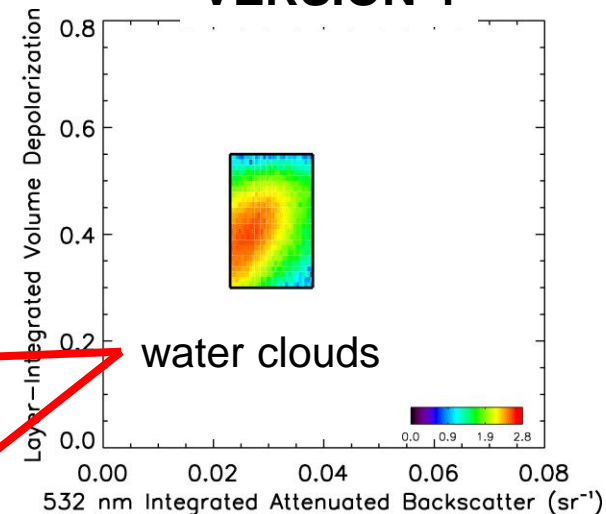
$8.2 \text{ km} < Z < 17.0 \text{ km}$

$R' > 50$



## VERSION 4

water clouds

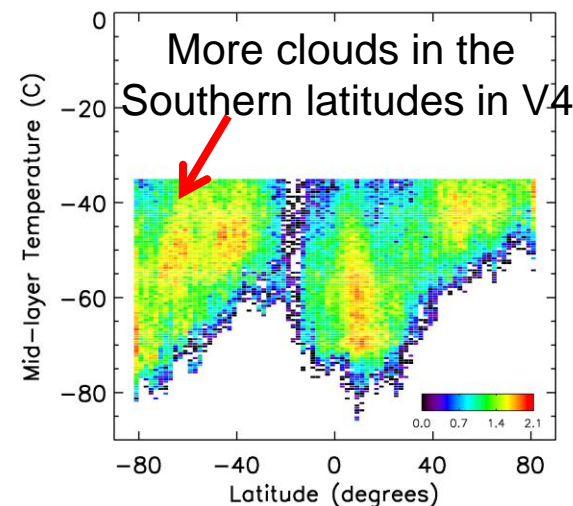
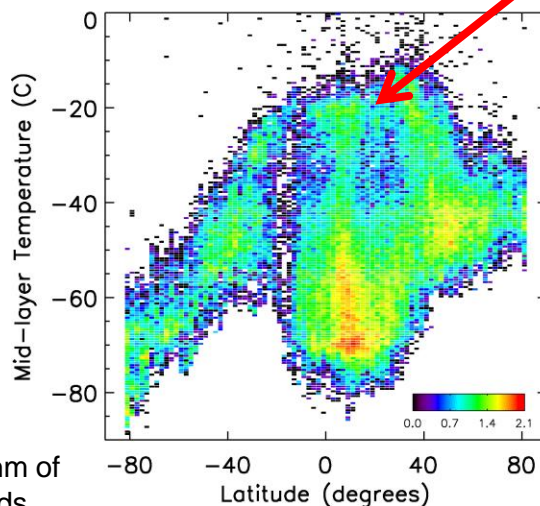


### VERSION 4

$T_{\text{mid}} < -35^\circ$

$0.30 < \delta < 0.55$

$0.023 < \gamma' < 0.038$



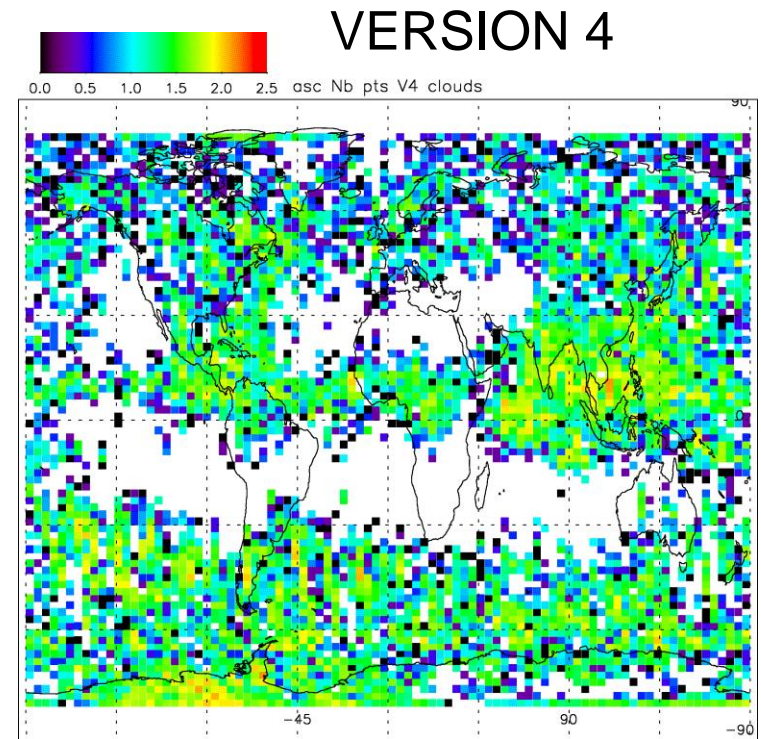
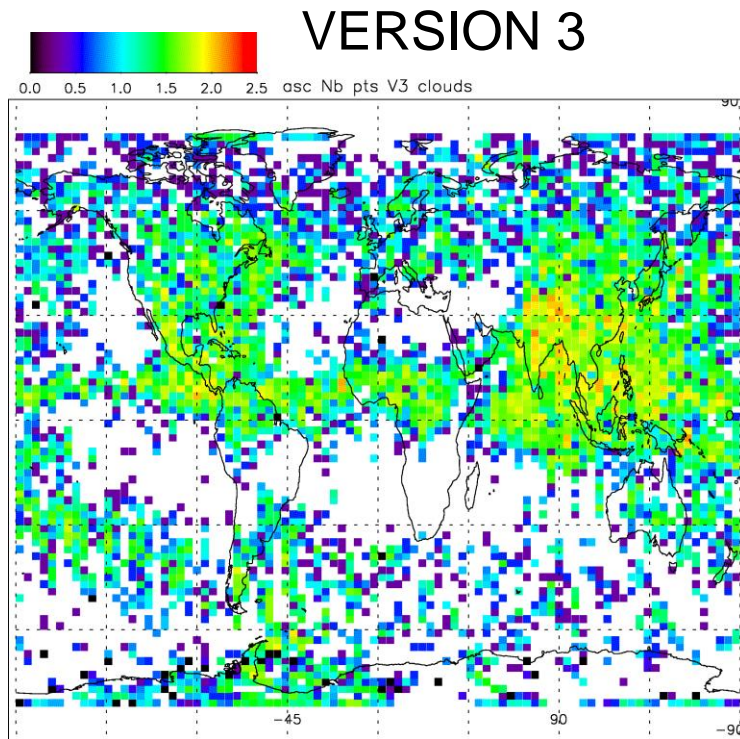
Color Code is the decimal logarithm of the number of calibration clouds



# Increased Sampling in V4



## Number of Calibration Clouds, July 2010, day orbits



Decimal logarithm of the number of calibration clouds

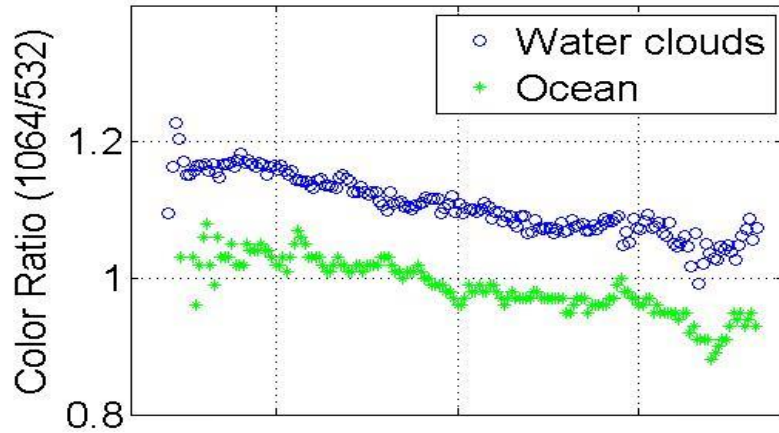
**Revised cloud selection scheme improves sampling in V4,  
reducing both random and systematic errors**



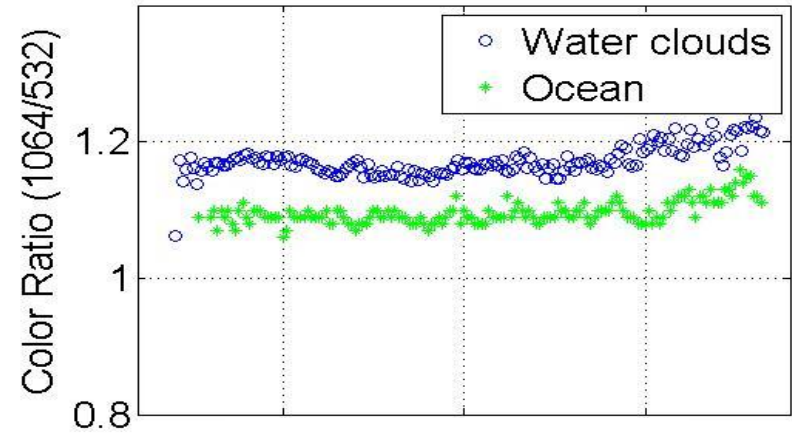
# Cirrus Color Ratio Trend: V3 vs V4



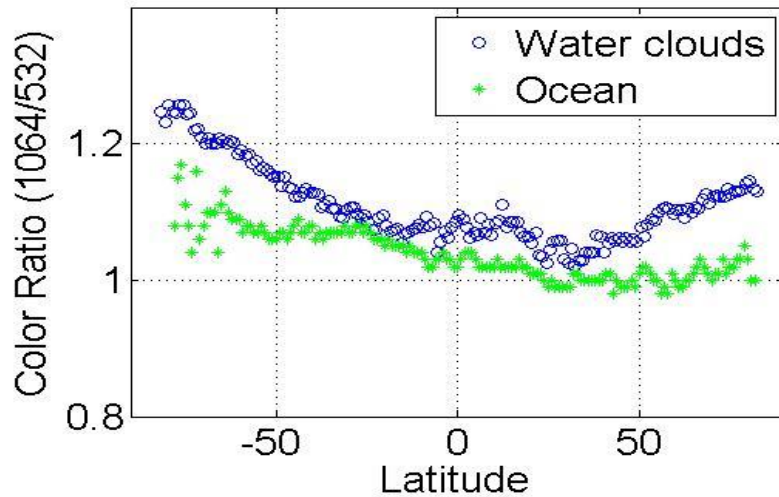
V3 October 2010 Night



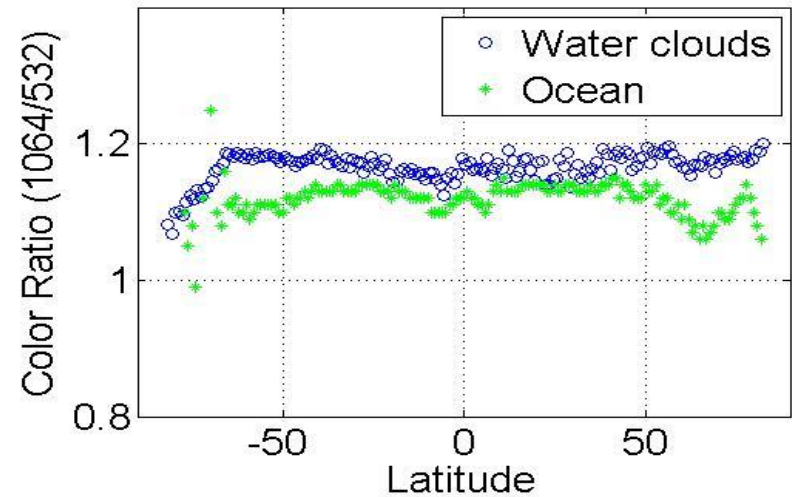
V4 October 2010 Night



V3 October 2010 Day



V4 October 2010 Day



Latitudinal slope reduced in V4



# Level 1 Status



- ❑ Processing of Version 4 to begin next month
- ❑ Processing of full mission expected to continue through mid-2014
  - Contingent on availability of new *GMAO* met data
- ❑ Production of Version 3 Level 1 and Level 2 will continue as-is until V4 Level 2 becomes available



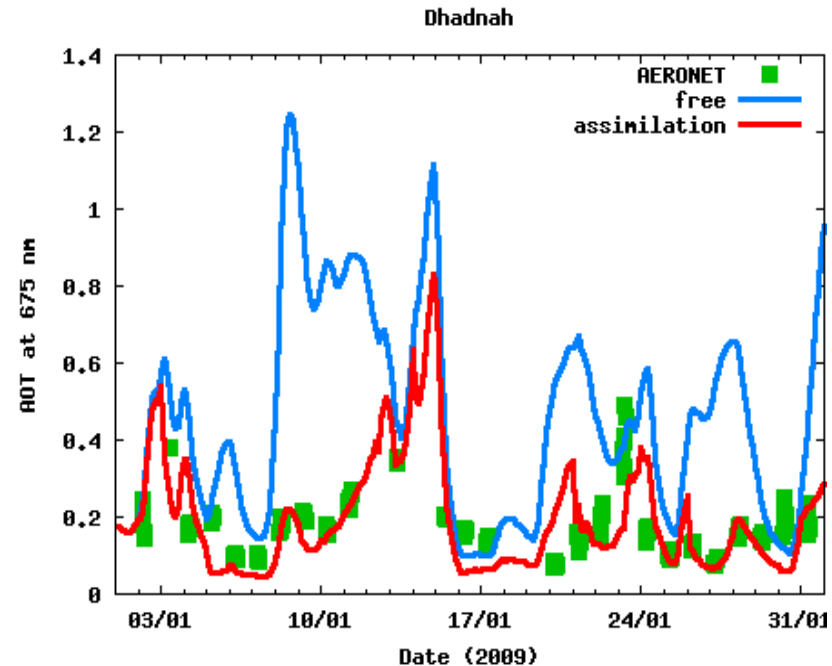
# A few assimilation examples



# Assimilation Experiment: Tokyo U.



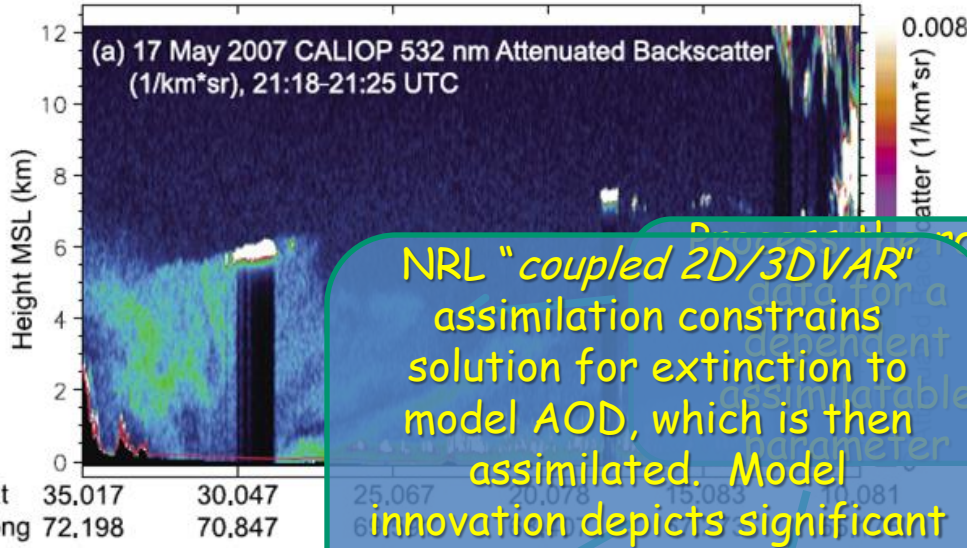
- CALIOP nighttime Level 1 profiles assimilated into global aerosol transport model
  - SPRINTARS driven by MIROC
  - Local Ensemble Transform Kalman filter assimilation scheme
  - Assimilation observation operator assumes single scattering, treats dust as spheroids
- Improves agreement with AERONET AOD at Dhadnah
  - Decreases dust in free troposphere
  - Increases dust loading in PBL



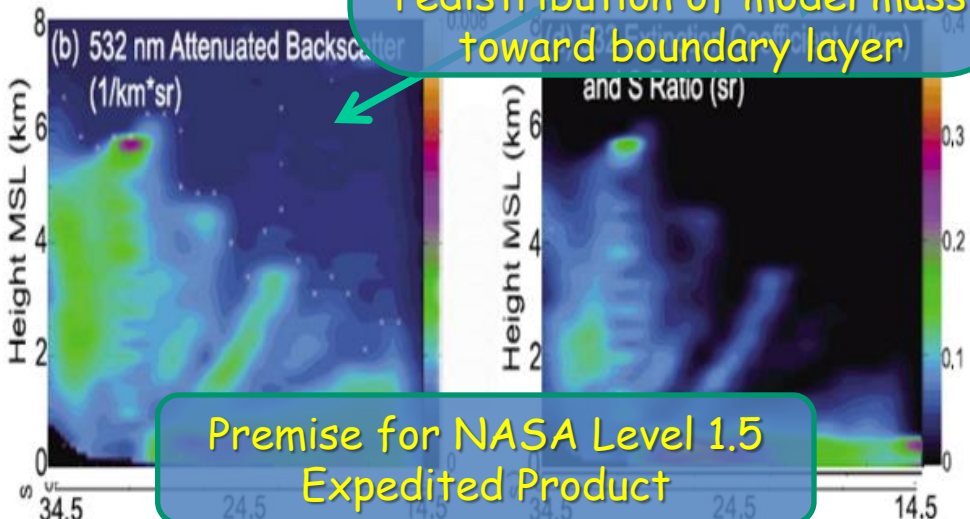
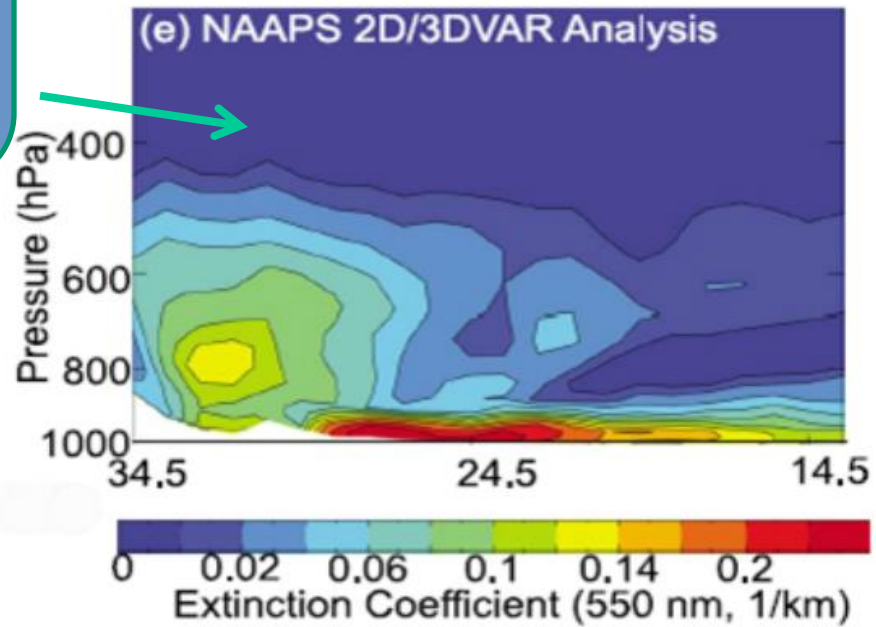
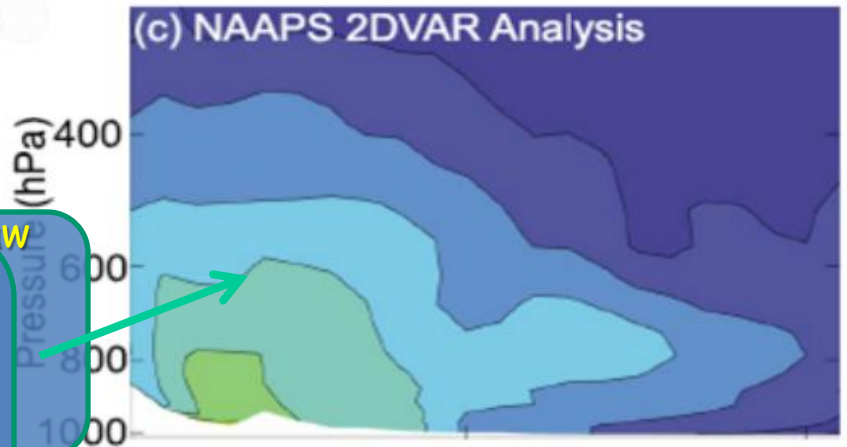
Nick Schutgens & Eiji Oikawa, Tokyo U.



# NRL: Processing Paradigm (James Campbell)



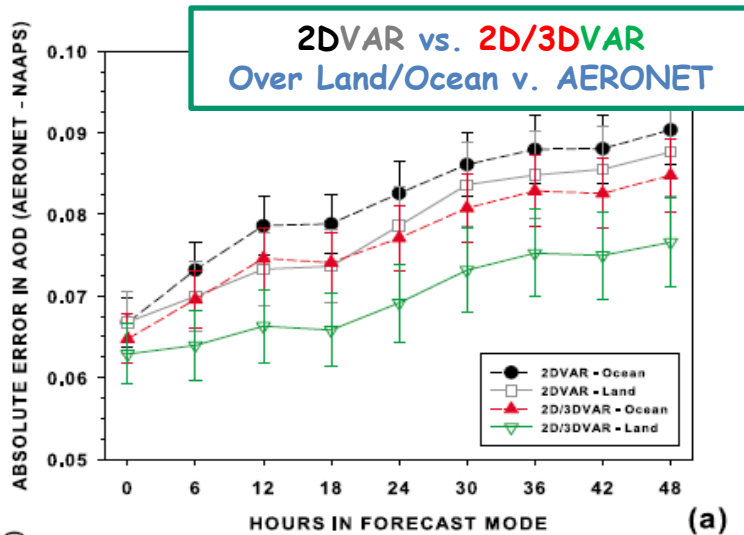
NRL "coupled 2D/3DVAR" assimilation constrains solution for extinction to model AOD, which is then assimilated. Model innovation depicts significant redistribution of model mass toward boundary layer



Premise for NASA Level 1.5 Expedited Product

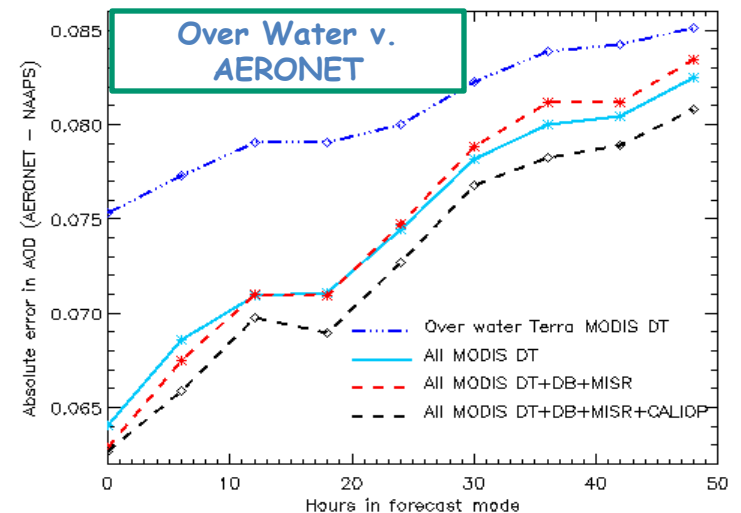


# NRL: Performance Evaluation



- Since coupled 2D/3DVAR is constrained by 2DVAR prior for AOD, particle mass is conserved.
- We see little skill improvement at 00-hr analysis, but increasing skill through forecast
- By redistributing model mass with CALIOP, forecast AODs improve downwind
- Greater improvement over land where passive sensors experience difficulties

- New paper by Zhang *et al.* describes sequential improvement to NAAPS AOD v. AERONET with each satellite dataset added to assimilation
- CALIOP datasets represent 1/100<sup>th</sup> of potential  $x, y$  spatial data volume of MODIS/MISR, yet produce significant improvement through forecast.
- Will only improve further with future multi-satellite lidar arrays and ensemble DA







# Product Plans



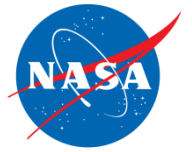
# Near-term Plans



- ❑ V4L1 production to begin next month
  - Schedule contingent on availability of new *GMAO* met data
  - Next step in validation is evaluation of new Level 2 products
  
- ❑ Release of a Level 2 PSC product is imminent
  
- ❑ Level 3 Aerosol product
  - $\beta$ -version currently available
  - An improved "Provisional" release scheduled for early 2014
  - Change grid to  $2^\circ \times 2^\circ$  ??
  
- ❑ Stratospheric product in development- built on V4 L1
  
- ❑ A Level 1.5 "re-analysis" product is being considered
  - Product of current Level 1.5 NRT will continue, based on V3

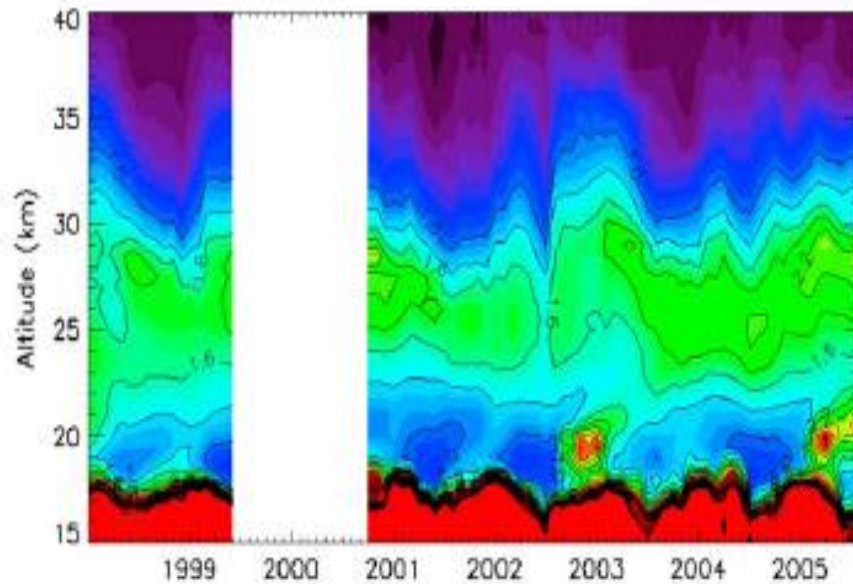


# New Products Stratospheric Aerosol



- ❑ CALIOP has been able to extend the multi-decade SAGE climatology
- ❑ Developed as a research product, will be refined and released next year as an official CALIPSO data product
  - Proposed grid: 5 x 20 degs x 360 m, tropopause to 40 km
  - Monthly files

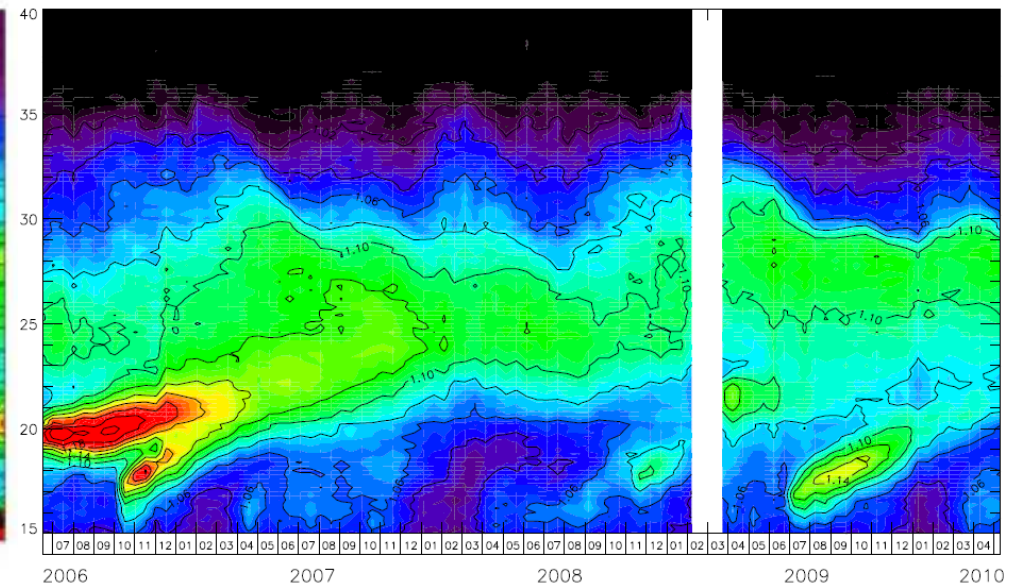
SAGE II



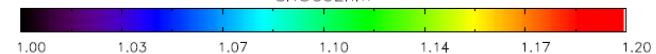
Extinction Ratio@1020nm



CALIOP



SR@532nm





# Longer Term Plans



- ❑ Version 4 Level 2 in development
  - Once V4 Level 1 is in production, focus shifts to Level 2
  - Will be used for higher-level validation of V4 Level 1
- ❑ Level 3 cloud product
  - Based on V4 Level 2
- ❑ Level 3 aerosol product
  - Will be updated when V4 Level 2 is available



## Other Activities



### □ OCO-2

- Will fly in A-train ahead of CALIPSO
- Launch: summer 2014
- CALIOP data will be used to evaluate OCO-2 retrievals
- Aerosol absorption retrieval in development
  - ✓ combines CALIOP with OCO-2 O<sub>2</sub> A-band radiances ( $\Delta\lambda = 0.05$  nm)

### □ CATS-ISS

- To be operated on ISS JEM platform
- Launch: June 2014 on H-2
- CALIPSO team will produce CALIPSO-like product from CATS mode 1 data
- OCO-3 also planned for ISS JEM



SPARE



# CATS Configuration

**Two non-identical Nd:YAG lasers:**

**1064/532**

**1064/532/355**

**1-2 mJ, 5 kHz pulse rate**

**Receiver:**

**3- $\lambda$  elastic backscatter**

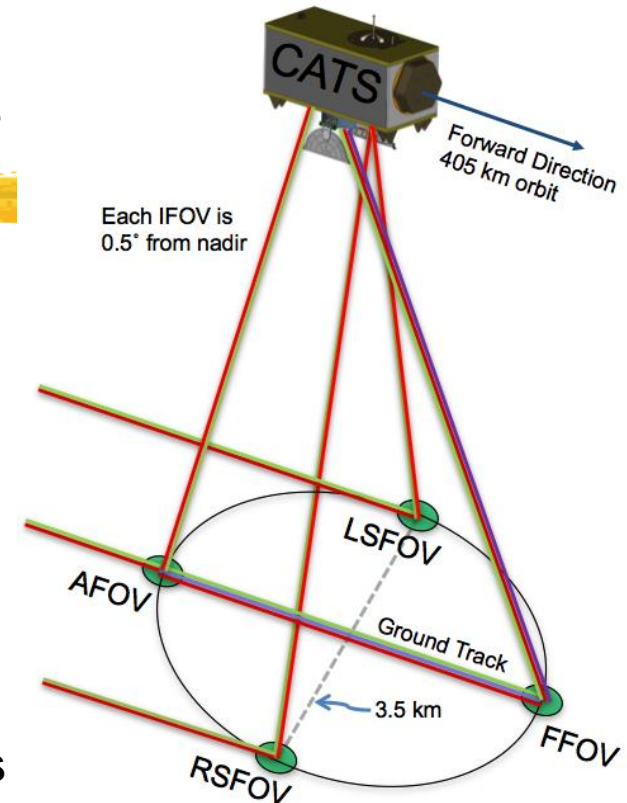
**532 HSRL mode**

**Depol at all three wavelengths**

**Range resolution: 60 meters**

**Redundant optical chains  $\rightarrow$  four footprints**

**Six different operating modes:**



Science Mode	Laser	Wavelength (nm)							IFOV			
		1064		532			355		LSFOV	RSFOV	FFOV	AFOV
			$\perp$		$\perp$	HSRL		$\perp$				
1	1	X	X	X	X				X	X		
2	2a	X	X	X		X						X
3	2b	X	X	X	X		X	X			X	
4	2c	X	X	X	X				X			
5	2c	X	X	X	X					X		
6	2c	X	X	X	X						X	